

# Bionic Vision

Research teams around the world are developing electronic surrogates for sight. Here are some of the most promising advances.

By Jean Shaw, Contributing Writer

**B IONIC EYE RESEARCH HAS REACHED** a tipping point: After decades of painstaking exploration and development, 2 retinal visual prostheses are on the market in Europe and the United States, and, as of early 2016, more than 20 teams around the world were actively engaged in this field of inquiry.<sup>1</sup>

Their efforts are robust enough—and the number of people worldwide with vision impairment is, at 285 million,<sup>1</sup> large enough—that the global market for retinal implants is expected to rise to more than \$1 billion by 2022.<sup>2</sup> And even though investigators are careful to note that the vision provided by these devices is—at least at this time—relatively rudimentary, they are encouraged by the pace of development and the potential applications.

#### **Surgical Strategies**

Multiple teams at work means that multiple strategies—and multiple surgical locations for implantation and stimulation—are being pursued. Most of the devices target the retina, and they can generally be characterized as epiretinal, subretinal, or suprachoroidal, depending on the location of their internal components. However, another innovative approach bypasses the retina altogether and involves direct stimulation of the visual cortex.

# **Epiretinal Placement**

Epiretinal prostheses are placed on the anterior surface of the retina, where they stimulate ganglion cells.

**Research spotlight: Argus II.** This is perhaps the best-known retinal prosthesis, as it was the first one to be approved for use in the United States and Europe. The device, developed by Second Sight, consists of external electronic equip-



Receiver (Coil)

**ARGUS II INTERNAL WORK-INGS.** The 3 main components of the Argus II implant are the electronics case, 6×10-mm electrode array, and radiofrequency receiver (coil). ment worn by the user and internal elements that are implanted in and on the eye.<sup>3</sup> The external elements include a video camera that is mounted onto a pair of eyeglasses: the internal elements are a 6×10-mm arrav of 60 electrodes that is tacked over the macula and an electronics case and implant coil attached to a scleral band sutured onto the eve

wall. Because of its design, the recipient must use head scanning to acquire visual information.

Intended applications. The Argus II is approved only for patients with outer retinal degenerative disease such as retinitis pigmentosa (RP) and choroideremia. Researchers are now investigating its use in dry age-related macular degeneration (AMD).

#### ARGUS II EXTERNAL

**WORKINGS.** The Argus II has several external components: a video camera mounted on glasses, a video processing unit, and transmitter coil for sending video input via radio signal to the implant. Surgical considerations. The inclusion criteria are "quite specific," said K. Thiran Jayasundera, MD, at the University of Michigan. "You



must have bare light perception, and you can't have optic nerve problems." In addition, a patient's axial length measurements must fall within certain parameters, and evidence of intact inner layer retinal function must be confirmed before implantation.

While adverse events have included hypotony, conjunctival dehiscence, conjunctival erosion, and presumed endophthalmitis,<sup>3</sup> "adverse events are quite low, actually, with good surgical technique," said Dr. Jayasundera, who has implanted the device. He noted that proper placement of the electronics case and receiver coil is "critical to the placement of the array internally; the array falls on the macula if these elements are on the right position on the sclera." He added that "sclerotomy closure is very important, as there can be leakage through an open sclerotomy."

**Current status.** The Argus II has been implanted in more than 190 patients worldwide. Results to date indicate that the device remains a reasonably reliable and stable option for patients with advanced RP. In a recent 5-year follow-up of 30 patients who received the Argus,<sup>3</sup> it remained in place and functional in 24. Two devices failed, and 3 were explanted because of adverse events (1 patient died of unrelated causes). In functional "follow the door" and "follow the line" mobility testing, patients were able to maneuver with more success when the system was turned on than when it was off.

As for expanded use, initial results in a study of 4 patients with late-stage dry AMD found that central vision function was elicited by the device over the area of subfoveal geographic atrophy.<sup>4</sup> And improvements in the device continue: Second Sight is working on a new iteration that will include updated algorithms, Dr. Jayasundera said.

**Similar devices in development.** The Iris-II epiretinal implant (Pixium Vision) is in clinical trials in Europe. The device, which is also designed for patients with RP, has 150 electrodes and a readily explantable design.

#### **Subretinal Placement**

Subretinal devices are placed beneath the retinal pigment epithelium in the photoreceptor layer.

**Research spotlight: Alpha-IMS.** This prosthesis, developed by Retina Implant in Germany, has a 3×3-mm microchip that is implanted in a subfoveal position. The chip contains 1,500 light-sensitive photodiodes that are joined to microelectrodes.

The Alpha-IMS prosthesis does not use an external camera; instead, the photodiodes are coupled to an external power module that is implanted under the skin behind the ear and amplifies the signals generated by the photodiode array.

One benefit of the Alpha-IMS is that the patient does not need to use head scanning to locate objects; instead, normal eye movements can be used. "The image moves with the eye because the chip is embedded exactly where the photoreceptors ordinarily would lie," said Robert E. MacLaren, MD, of Oxford University in England. "By scanning an image with saccades, patients can get more information out of it than would be the case with a static image."

With regard to the implantation procedure, "The surgery is complex, but any high-volume vitreoretinal surgeon should be able to learn it. Help is also needed from a cochlear implant surgeon to place the power supply under the skin behind the ear," said Dr. MacLaren, who is involved in clinical trials of a newer iteration of the device. For the retinal surgeon, he said, "The most difficult part is positioning the chip underneath the retina, but this is important because in this position the electronic signals imparted by the chip are directed toward the bipolar cells, which takes advantage of the natural retinal processing."

**Intended applications.** The Alpha-IMS prosthesis is approved in Europe for patients with RP. A newer version, the Alpha-AMS, is in clinical trials. Dr. MacLaren reported that he is currently implanting the AMS chip—which is larger in size and contains 1,600 pixels—as part of a study funded by the

United Kingdom's National Health Service.

Current status. In 2015, Alpha-IMS researchers reported 12-month results with 29 patients.5 Thirteen of the recipients were able to recognize object shapes and details in daily life, and 8 could localize high-contrast objects but could not recognize shapes or



**ALPHA-IMS DEVICE.** The Alpha-IMS prosthesis is an implant with a 3×3-mm microchip in a subfoveal position. The chip contains 1,500 light-sensitive photodiodes.

details. "I have seen several patients now who had no light perception suddenly see things again," Dr. MacLaren said. "Shapes and outlines of objects may seem rudimentary vision for you and me,

# Managing Patient Expectations Is Key

Success with a visual prosthesis depends not only on cutting-edge technology and deft surgical skills but also on the human element. As Kari Branham, MS, CGC, put it, patient counseling is "one of the most critical components of the entire process."

Counseling "begins with an initial telephone screening and continues throughout the postoperative period," said Ms. Branham, at the University of Michigan, adding that managing expectations is key. "We spend a lot of time on gauging their expectations. If someone says, 'I want to see my wife's face again,' that's not realistic. We tell that patient, 'You might be able to tell that your wife is standing in front of you, but you won't be able to see her face as you once could.'"

Preoperative evaluation includes at least 2 screening visits. In addition to medical evaluation, Ms. Branham said, "We spend a lot of time on education. You're not going to have the prosthesis put in and then go on your merry way; you have to be committed to making it work and prepared to go through extensive low-vision rehabilitation afterward." After this, potential patients are given time to reconsider before agreeing to surgery. "We really want them to go home and think about it and talk to their family first," Ms. Branham said. The University of Michigan team also recommends that potential patients consult with several people who have had the Argus Il implanted and have offered to serve as peer counselors.

After all of this, some potential patients have decided to decline, Ms. Branham said. "Once we described what they could expect, several people weren't really interested in having it done. But some have told us that they're willing to wait for the 'next generation' to come out."

To date, the Michigan team has implanted 10 of the Argus II devices, more than any other single site in the United States. And the recipients continue to be happy with their outcomes. "If you're a fully sighted person, it can be hard to understand this, but people are pretty happy with the amount of vision that they get back with visual prostheses," Ms. Branham said. "They're going from nothing to something, and that means a lot to them."



**ALPHA-IMS COMPONENTS.** X-ray showing position of Alpha-IMS chip and power supply, which is implanted under the skin behind the ear.

but for someone who is completely blind, this is a life-changing moment." He added, "To date, all patients have been able to see things with the chip. Furthermore, there have been no problems at all with the anesthesia, despite it being a long operation."

So far, 29 patients have received the Alpha-IMS and 15 have received the Alpha-AMS.

**Similar devices in development.** Stanford researchers have developed a wireless photovoltaic subretinal prosthesis. Recipients will wear goggles that capture images and project them into the eye and onto an implanted photodiode array; the light is then converted into pulsed current, which stimulates inner retinal neurons.<sup>6</sup> The prosthesis, known as Prima, is now being prepared for clinical trials in conjunction with Pixium Vision.

### **Suprachoroidal Placement**

Suprachoroidal prostheses are designed to be placed between the choroid and the sclera. In a variation of this approach, a prosthesis known as the suprachoroidal-transretinal device is implanted between the layers of the sclera rather than in the suprachoroidal space.

**Research spotlight: Bionic Vision Australia.** Researchers with the BVA Research Consortium have developed a 24-channel prototype suprachoroidal prosthesis that comprises an intraocular electrode array in a 19×8-mm silicone base. "The array has 33 platinum stimulating electrodes and 2 return electrodes, and the outer ring of electrodes are ganged together to enable hexagonal stimulation, meaning that 20 electrodes can be stimulated individually," said Carla J. Abbott, PhD, with BVA.

In addition, to allow for maximum flexibility in device stimulation, "the array is connected with a helical platinum/iridium lead wire to a percutaneous connector implanted behind the ear," Dr. Abbott said. "This allows direct stimulation of the intraocular array with external electronics and has been used previously in cochlear implant studies."

**Intended applications.** As with other retinal prostheses, the BVA device is intended for patients with outer retinal degenerative disease such as RP or choroideremia. Candidates for current trials

must have remaining visual acuity of light perception or less in both eyes.<sup>7</sup>

**Surgical considerations.** The suprachoroidal location of this device confers 2 main advantages, Dr. Abbott said. "Firstly, the suprachoroidal surgery is far less challenging than that required for epiretinal or subretinal implants and does not breach the retinal tissue, thus negating the need for a vitrectomy or incisions into the retina. Secondly, due to its position, the device has an excellent safety profile."

As for drawbacks, she said, "The potential disadvantage to the suprachoroidal location is that the electrodes are 250 to 400  $\mu$ m further away from the target retinal ganglion cells than in an epiretinal implant," and more electrical current needs to be delivered to stimulate phosphenes. However, studies to date with the BVA device have shown that the retina can be safely and effectively stimulated over a relatively good dynamic range.<sup>1</sup>

**Current status.** In 2012, BVA researchers implanted the device in 3 patients with end-stage RP.<sup>7</sup> This first-in-human trial "showed that the suprachoroidal anatomical position for the array is a viable, minimally invasive, and relatively straightforward location for an electrode array," Dr. Abbott said. After 12 months of monitoring, the BVA team reported that the surgery was safe, with no intraoperative adverse effects. Moreover, the position of the device was stable, with no lateral movement or signs of extrusion, the device was able to provide visual percepts in all 3 patients, and the electrode array continued to function, resulting in an extension of the trial to 2 years.<sup>7</sup>

BVA researchers are now working on the next version of their device, said Anthony N. Burkitt,

4. Implanted

electrode array

stimulates retina.

5. Electrical signals sent from retina via visual pathway to vision processing

centers in the brain.

3. Implanted receiver passes signals onto retinal implant.

**BIONIC VISION SYSTEM.** This approach uses a suprachoroidal device that is able to stimulate retinal ganglion cells, such that images from the camera are sent to the brain.

**1. Camera** captures image and transmits data to an external, bodyworn processing unit.



2. Data processed and sent to implanted system via external wire. PhD, director of BVA. "An implant with 44 channels in a staggered arrangement has been developed and has been undergoing preclinical safety and efficacy testing. Although the electrode array has substantially more platinum disk electrodes than the prototype device, it nevertheless has the same dimensions and will be implanted in the suprachoroidal position using the same surgical procedure," he said, adding that the 44-channel system is "fully implantable and suitable for longterm use."

The BVA team says that the preclinical testing has given them confidence that the system is safe for patients and will generate useful visual percepts; a forthcoming clinical trial is planned.

**Similar devices in development.** Research on similar devices is being conducted at Osaka University in Japan and at Seoul National University in South Korea. The Osaka researchers recently reported that their wide-field dual-array suprachoroidal-transretinal device could theoretically activate a larger visual field.<sup>8</sup>

#### **Bypass the Retina?**

Recent advances in such areas as wireless technology and silicon chip design have renewed interest in—and research on—cortical visual prostheses, which bypass the retina altogether and directly stimulate the brain.

**Research spotlight: Gennaris.** The Monash Vision Group (MVG) in Australia, led by electrical engineer Arthur J. Lowery, PhD, has developed a cortical visual prosthesis known as the Gennaris bionic vision system. The system consists of a camera on a glasses frame that transmits digital photographic images to a computer that sits on a belt at the patient's waist, said neurosurgeon Jeffrey V. Rosenfeld, MD, FRACS, at Monash University. The computer converts those images into electrical waveform patterns that are sent to a receiving/transmitting antenna that is situated at the back of the patient's head (Fig. 1C). This antenna then transmits the signals and power to wireless ceramic tiles that are implanted into the visual cortex (Fig. 1A).9

"The tiles are small—9×9 mm—and each houses about 43 microelectrodes that penetrate the surface of the brain," Dr. Rosenfeld said. Each electrode is capable of generating phosphenes, thus creating patterns of light in the patient's visual field. Moreover, the MVG researchers will be able to control the electrodes for different patterns of electrical stimulation, Dr. Rosenfeld said. "This variable stimulation pattern may sharpen the image quality," he said, adding that the first recipients "will probably have 4 tiles implanted, but more tiles could be implanted in the future."



**STIMULATING THE CORTEX DIRECTLY.** Penetrating electrodes are shown (1A) on a prosthesis tile and (1B) in close-up; annular stimulating surface is 500  $\mu$ m from the tip. (1C) The headgear receives the input and transmits it to the patient's visual cortex.

Intended applications. Dr. Rosenfeld envisions the Gennaris system as a complement to, not a competitor with, the retinal prostheses. "There are quite a few patients with acquired blindness who aren't candidates for the retinal implants" due to loss of healthy ganglion cells, he said, including those with severe glaucoma and those whose blindness is due to trauma, tumors, or optic nerve atrophy.

**Surgical considerations.** Although some researchers have tried to stimulate various sites in the brain, notably the lateral geniculate nucleus, the "technical challenges are very high in that scenario," Dr. Rosenfeld said. In contrast, the Gennaris system can be implanted via what he described as straightforward neurosurgery. "Provided you can identify the primary visual cortex, you can put the device in without too much of a surgical challenge." Of course, no neurosurgery is truly routine, and concerns have been raised with regard to a number of potential adverse events, including postoperative bleeding, swelling, neurological deficits, and seizures.<sup>9</sup>

**Current status.** The Gennaris system is still in preclinical studies, and the MVG group is planning to go to human trials in 2017, Dr. Rosenfeld said. "We're hoping that once patients get accustomed to the patterns, they will be able to navigate their environment, identify large objects, see people—although we're not promising facial recognition—and maybe, just maybe, read large print."

**Similar devices in development.** Second Sight, the maker of the Argus II, has also developed a cortical visual prosthesis named Orion I. Preclinical testing is ongoing, and the company has reported that it plans to go to human trials in 2017.

#### **Next Steps**

Investigators readily acknowledge that bionic vision research still has a long way to go. Even so, they are encouraged by where things stand at this point. Next-generation prostheses are expected to offer improvements in quality of image resolution and an expanded field of view, for instance. And in a best-case scenario, inclusion criteria might eventually cover not only patients with AMD but also adults with congenital blindness.

"In their current iterations, visual prostheses are spotting tools that may allow patients to see contrast or outlines," said Dr. Jayasundera. "The devices certainly won't provide the vision that will allow patients to read or drive; still, a lot of the happiness patients experience [after receiving a prosthesis] comes from shared visual experiences. What a patient might say is, 'There's a birthday cake for my grandchild, and I can see the flames of the candles,' and they know that the grandchild is, at that same moment, seeing those candles."

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# MEET THE EXPERTS



CARLA J. ABBOTT, PHD Research fellow, surgical coordinator. and acting Bionic Eye Clinical Research Team leader at the Centre for Eye Re-

search Australia and Department of Ophthalmology at the University of Melbourne, Australia. *Relevant* 

financial disclosures: None. KARI BRANHAM, MS, CGC

Research investigator and genetic counselor at the University of Michigan, Ann Arbor. *Relevant* financial disclosures: None.



ANTHONY N. BURKITT, PHD Director of Bionic Vision Australia and chair of Bio-Signals and Bio-Systems in the Department of Electrical and Electronic Engineering at the University of Melbourne. Australia. Relevant financial disclosures: Australian Research Council Special Initiative in Bionic Vision Science and Technology: S.

K. THIRAN JAYASUNDERA, MD

Assistant professor of ophthalmology and visual sciences at the University of Michigan, Ann Arbor. Relevant financial disclosures: None.

## ROBERT E. MACLAREN, MD Profes-



sor of ophthalmology at the University of Oxford and consultant ophthalmologist at the Oxford

Eye Hospital in Oxford, England, and honorary professor of ophthalmology at the UCL Institute of Ophthalmology and honorary consultant vitreoretinal surgeon at Moorfields Eye Hospital in London. Relevant financial disclosures: None.

#### JEFFREY V. ROSENFELD, MD, FRACS

Director of the Monash Institute of Medical Engineering and senior neurosurgeon in the Department of Neurosurgery at Alfred Hospital in Melbourne, Australia. Relevant financial disclosures: None. See financial disclosure key, page 8. For full disclosures, view this article

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