Diabetic Retinopathy Preferred Practice Pattern®

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PII: S0161-6420(19)32092-5

DOI: https://doi.org/10.1016/j.ophtha.2019.09.025

Reference: OPHTHA 10933

To appear in: Ophthalmology

Received Date: 20 September 2019

Accepted Date: 20 September 2019

Please cite this article as: Flaxel CJ, Adelman RA, Bailey ST, Fawzi A, Lim JI, Vemulakonda GA, Ying G-s, Diabetic Retinopathy Preferred Practice Pattern®, *Ophthalmology* (2019), doi: https://doi.org/10.1016/j.ophtha.2019.09.025.

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Diabetic Retinopathy Preferred Practice Pattern®

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Elsevier to renumber the pages and Table of Contents, as necessary.

Diabetic Retinopathy PPP – Journal Submission Draft – 9/18/19

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September 7, 2019

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Preferred Practice Pattern® guidelines are developed by the Academy's H. Dunbar Hoskins Jr., MD Center for Quality Eye Care without any external financial support. Authors and reviewers of the guidelines are volunteers and do not receive any financial compensation for their contributions to the documents. The guidelines are externally reviewed by experts and stakeholders before publication.

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RETINA/VITREOUS PREFERRED PRACTICE PATTERN® DEVELOPMENT PROCESS AND PARTICIPANTS

The **Retina/Vitreous Preferred Practice Pattern® Panel** members wrote the Diabetic Retinopathy Preferred Practice Pattern® (PPP) guidelines. The PPP Panel members discussed and reviewed successive drafts of the document, meeting in person twice and conducting other review by e-mail discussion, to develop a consensus over the final version of the document.

Retina/Vitreous Preferred Practice Pattern Panel 2018–2019

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We thank our partners, the Cochrane Eyes and Vision US Satellite (CEV@US), for identifying reliable systematic reviews that we cite and discuss in support of the PPP recommendations.

The Preferred Practice Patterns Committee members reviewed and discussed the document during a meeting in June 2019. The document was edited in response to the discussion and comments.

Preferred Practice Patterns Committee 2019

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The Diabetic Retinopathy PPP was then sent for review to additional internal and external groups and individuals in July 2019. All those returning comments were required to provide disclosure of relevant relationships with industry to have their comments considered (indicated with an asterisk below). Members of the Retina/Vitreous Preferred Practice Pattern Panel reviewed and discussed these comments and determined revisions to the document.

FINANCIAL DISCLOSURES

In compliance with the Council of Medical Specialty Societies' Code for Interactions with Companies (available at www.cmss.org/codeforinteractions.aspx), relevant relationships with industry are listed. The Academy has Relationship with Industry Procedures to comply with the Code (available at http://one.aao.org/CE/PracticeGuidelines/PPP.aspx). A majority (88%) of the members of the Retina/Vitreous Preferred Practice Pattern Panel 2018–2019 had no financial relationship to disclose.

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The disclosures of relevant relationships to industry of other reviewers of the document from January to October 2019 are available online at www.aao.org/ppp.

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OBJECTIVES OF PREFERRED PRACTICE PATTERN® GUIDELINES

As a service to its members and the public, the American Academy of Ophthalmology has developed a series of Preferred Practice Pattern® guidelines that **identify characteristics and components of quality eye care.** Appendix 1 describes the core criteria of quality eye care.

The Preferred Practice Pattern® guidelines are based on the best available scientific data as interpreted by panels of knowledgeable health professionals. In some instances, such as when results of carefully conducted clinical trials are available, the data are particularly persuasive and provide clear guidance. In other instances, the panels have to rely on their collective judgment and evaluation of available evidence.

These documents provide guidance for the pattern of practice, not for the care of a particular individual. While they should generally meet the needs of most patients, they cannot possibly best meet the needs of all patients. Adherence to these PPPs will not ensure a successful outcome in every situation. These practice patterns should not be deemed inclusive of all proper methods of care or exclusive of other methods of care reasonably directed at obtaining the best results. It may be necessary to approach different patients' needs in different ways. The physician must make the ultimate judgment about the propriety of the care of a particular patient in light of all of the circumstances presented by that patient. The American Academy of Ophthalmology is available to assist members in resolving ethical dilemmas that arise in the course of ophthalmic practice.

Preferred Practice Pattern® guidelines are not medical standards to be adhered to in all individual situations. The Academy specifically disclaims any and all liability for injury or other damages of any kind, from negligence or otherwise, for any and all claims that may arise out of the use of any recommendations or other information contained herein.

References to certain drugs, instruments, and other products are made for illustrative purposes only and are not intended to constitute an endorsement of such. Such material may include information on applications that are not considered community standard, that reflect indications not included in approved U.S. Food and Drug Administration (FDA) labeling, or that are approved for use only in restricted research settings. The FDA has stated that it is the responsibility of the physician to determine the FDA status of each drug or device he or she wishes to use, and to use them with appropriate patient consent in compliance with applicable law.

Innovation in medicine is essential to ensure the future health of the American public, and the Academy encourages the development of new diagnostic and therapeutic methods that will improve eye care. It is essential to recognize that true medical excellence is achieved only when the patients' needs are the foremost consideration.

All Preferred Practice Pattern® guidelines are reviewed by their parent panel annually or earlier if developments warrant and updated accordingly. To ensure that all PPPs are current, each is valid for 5 years from the approved by date unless superseded by a revision. Preferred Practice Pattern guidelines are funded by the Academy without commercial support. Authors and reviewers of PPPs are volunteers and do not receive any financial compensation for their contributions to the documents. The PPPs are externally reviewed by experts and stakeholders, including consumer representatives, before publication. The PPPs are developed in compliance with the Council of Medical Specialty Societies' Code for Interactions with Companies. The Academy has Relationship with Industry Procedures (available at www.aao.org/about-preferred-practice-patterns) to comply with the Code.

Appendix 2 contains the International Statistical Classification of Diseases and Related Health Problems (ICD) codes for the disease entities that this PPP covers. The intended users of the Diabetic Retinopathy PPP are ophthalmologists.

METHODS AND KEY TO RATINGS

Preferred Practice Pattern® guidelines should be clinically relevant and specific enough to provide useful information to practitioners. Where evidence exists to support a recommendation for care, the recommendation should be given an explicit rating that shows the strength of evidence. To accomplish these aims, methods from the Scottish Intercollegiate Guideline Network¹ (SIGN) and the Grading of Recommendations Assessment, Development and Evaluation² (GRADE) group are used. GRADE is a systematic approach to grading the strength of the total body of evidence that is available to support recommendations on a specific clinical management issue. Organizations that have adopted GRADE include SIGN, the World Health Organization, the Agency for Healthcare Research and Policy, and the American College of Physicians.³

- ♦ All studies used to form a recommendation for care are graded for strength of evidence individually, and that grade is listed with the study citation.
- ◆ To rate individual studies, a scale based on SIGN¹ is used. The definitions and levels of evidence to rate individual studies are as follows:

I++	High-quality meta-analyses, systematic reviews of randomized controlled trials (RCTs), or RCTs with a very low risk of bias
I+	Well-conducted meta-analyses, systematic reviews of RCTs, or RCTs with a low risk of bias
I-	Meta-analyses, systematic reviews of RCTs, or RCTs with a high risk of bias
II++	High-quality systematic reviews of case-control or cohort studies High-quality case-control or cohort studies with a very low risk of confounding or bias and a high probability that the relationship is causal
II+	Well-conducted case-control or cohort studies with a low risk of confounding or bias and a moderate probability that the relationship is causal
II-	Case-control or cohort studies with a high risk of confounding or bias and a significant risk that the relationship is not causal
III	Nonanalytic studies (e.g., case reports, case series)

◆ Recommendations for care are formed based on the body of the evidence. The body of evidence quality ratings are defined by GRADE² as follows:

Good quality	Further research is very unlikely to change our confidence in the estimate of effect
Moderate quality	Further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate
Insufficient quality	Further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate Any estimate of effect is very uncertain

◆ Key recommendations for care are defined by GRADE² as follows:

Strong recommendation	Used when the desirable effects of an intervention clearly outweigh the undesirable effects or clearly do not
Discretionary recommendation	Used when the trade-offs are less certain—either because of low-quality evidence or because evidence suggests that desirable and undesirable effects are closely balanced

- The Highlighted Findings and Recommendations for Care section lists points determined by the PPP Panel to be of particular importance to vision and quality of life outcomes.
- All recommendations for care in this PPP were rated using the system described above. Ratings are embedded throughout the PPP main text in italics.
- ◆ Literature searches to update the PPP were undertaken in April 2018 and June 2019 in PubMed and the Cochrane Library. Complete details of the literature searches are available online at www.aao.org/ppp.

HIGHLIGHTED FINDINGS AND RECOMMENDATIONS FOR CARE

The prevalence of diabetes is increasing with increasing industrialization and globalization. Consequently, the prevalence of diabetic retinopathy and vision-threatening diabetic retinopathy is also expected to increase. Only about 60% of people with diabetes have recommended yearly screenings for diabetic retinopathy. Referral to an ophthalmologist is required when there is any evidence of diabetic retinopathy. People with type 1 diabetes should have annual screenings for diabetic retinopathy beginning 5 years after the onset of their disease, whereas those with type 2 diabetes should have a prompt screening at the time of diagnosis and at least yearly screenings thereafter. Maintaining control of glucose and blood pressure lowers the risk of retinopathy developing and/or progressing, so patients should be informed of the importance of maintaining good levels of glycosylated hemoglobin, and blood pressure. Patients with diabetes may use aspirin for other medical indications (as antiplatelet therapy) without an adverse effect on their risk of diabetic retinopathy. Women with diabetes who become pregnant should be examined early and closely in the course of the pregnancy because the disease can progress rapidly. However, an eye examination is not required when gestational diabetes occurs during pregnancy. Patients with diabetes have an accelerated rate of diabetic retinopathy progression during puberty and should be followed more closely. Intravitreal anti-vascular endothelial growth factor (anti-VEGF) agents are effective in the treatment of center-involved diabetic macular edema with vision loss. At this time, laser photocoagulation surgery remains the preferred treatment for non-center-involved diabetic macular edema and pan-retinal photocoagulation (PRP) surgery remains the mainstay treatment for proliferative diabetic retinopathy (PDR).

INTRODUCTION

DISEASE DEFINITION

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Diabetic retinopathy is a common complication in type 1 and type 2 diabetes. Diabetic retinopathy is the ocular manifestation of end-organ damage in diabetes mellitus. Diabetic retinopathy has been classically considered as a microvascular disease of the retina. However, growing evidence suggests that retinal neurodegeneration is an early event in the pathogenesis of diabetic retinopathy, which could contribute to the development of microvascular abnormalities.⁵ Although defects in neurosensory function have been demonstrated in patients with diabetes mellitus prior to the onset of vascular lesions, the most common early clinically visible manifestations of diabetic retinopathy include microaneurysm formation and intraretinal hemorrhages. Microvascular damage leads to retinal capillary nonperfusion, cotton wool spots, an increased number of hemorrhages, venous abnormalities, and intraretinal microvascular abnormalities (IRMA). During this stage, increased vasopermeability can result in retinal thickening (edema) and/or exudates that may lead to a loss in central visual acuity. The proliferative stage results in proliferation of new vessels on the disc, retina, and iris, and in the filtration angle. These new vessels then lead to traction retinal detachments and neovascular glaucoma, respectively. Vision can be substantially impaired in this stage as a result of capillary nonperfusion or edema in the macula, vitreous hemorrhage, and distortion or traction retinal detachment. A description of the fundus findings in various stages of diabetic retinopathy is included in the Natural History section, and important terms are defined in the Glossary.

PATIENT POPULATION

The patient population includes all patients with diabetes mellitus.

CLINICAL OBJECTIVES

- ◆ Identify patients at risk of developing diabetic retinopathy
- ◆ Encourage a collaborative approach between the patient, the primary care physician, and subspecialists in the management of the patient's systemic disorder, with specific attention to control of blood sugar (hemoglobin A_{1c} [HbA_{1c}]), blood pressure, serum lipids, body weight, and the management of renal disease, coronary artery disease, ⁶ and neuropathy
- Encourage and provide lifelong monitoring of retinopathy progression
- ◆ Treat patients with visual loss or those at risk for visual loss from diabetic retinopathy
- Minimize the side effects of treatment that might adversely affect the patient's vision and/or vision related quality of life
- Provide or refer for visual rehabilitation services when a patient has visual impairment from the
 disease

- Refer for ophthalmological follow-up for potentially reversable vision loss such as cataracts,
 glaucoma, or refractive changes
 - Develop new technologies for telemedicine improvement

BACKGROUND

INTRODUCTION

In the United States, an estimated three out of five people with diabetes have one or more of the complications associated with the disease. Two main forms of diabetes mellitus are recognized. Type 1, previously called juvenile-onset or insulin-dependent diabetes, is characterized by cellular-mediated autoimmune destruction of the beta cells in the pancreas and usually leads to severe insulin deficiency. Type 2 diabetes was previously referred to as adult-onset or noninsulin-dependent diabetes. Type 2 is characterized by a range of disease from insulin resistance with relative insulin deficiency to predominately an insulin secretory defect combined with insulin resistance. Type 2 patients usually have a relative rather than an absolute insulin deficiency; they may take insulin, yet typically do not need insulin for survival. Many patients with type 2 diabetes are obese, and obesity itself causes relative insulin resistance. Between 90% and 95% of all patients with diabetes have type 2 diabetes. Because of the disproportionately large number of patients with type 2 diabetes, this group comprises a larger proportion of the disease burden in patients with visual impairment from diabetic retinopathy, even though type 1 diabetes is associated with more frequent and more severe ocular complications. 9,10

Prevalence of Diabetes

An estimated 100 million Americans aged 18 years and older have either been diagnosed with diabetes or are prediabetic, according to a 2015 report by the Centers for Disease Control and Prevention (CDC). As reported by the CDC, 30.3 million Americans 18 or older have diabetes (9.4% of people in this age group),¹¹ and about one-quarter are not aware that they have the disease.¹² An additional 79 million persons have impaired fasting blood glucose levels (based on both fasting blood glucose levels and HbA_{1c} levels).¹² In 2015, an estimated 1.5 million new cases of diabetes were diagnosed among people aged 18 and older.¹¹

Rates of diagnosed diabetes increased with age: among individuals 18 to 44 years old, 4% had diabetes; among those 45 to 64 years old, 17% had diabetes; and among those 65 and older, 25% had diabetes. Rates of diagnosed diabetes were higher among Native Americans and Alaskan Natives (15.1%), non-Hispanic blacks (12.7%), and Hispanics (12.1%) compared with Asians (8.0%) and non-Hispanic whites (7.4%).¹¹

Rates of prediabetes (HbA $_{1c}$ levels between 5.7% and 6.4%) are also increasing. ¹³ It is estimated that 33.9% of US adults 18 or older (84.1 million people) have prediabetes based on their fasting glucose or HbA $_{1c}$ level. Nearly half (48.3%) of adults 65 or older had prediabetes. ¹¹

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Age-adjusted incidence of diabetes was two times higher for people with less than a high school education (10.4/1000 persons) compared with those with more than a high school education (5.3/1000 persons) from 2013 to 2015. Rates of diabetes and prediabetes are similarly high among children and adolescents (younger than 20). Compared with members of other US racial and ethnic groups, non-Hispanic whites had the highest rate of new cases of type 1 diabetes. Among children and adolescents aged 10 to 19, U.S. minority populations had higher rates of new cases of type 2 diabetes compared with non-Hispanic whites.

The 2015 CDC report notes a higher prevalence of diabetes among American Indians/Alaska Natives (15.1%), non-Hispanic blacks (12.7%), and people of Hispanic ethnicity (12.1%) than

Natives (15.1%), non-Hispanic blacks (12.7%), and people of Hispanic ethnicity (12.1%) than among non-Hispanic whites (7.4%) and Asians (8.0%) among adults aged 18 years or older. Americans of African descent or Hispanic ethnicity have a disproportionately high prevalence of diabetes compared with Americans of European descent (12.6%, 11.8%, 7.0%, respectively), whereas Asian Americans have only a slightly higher prevalence (8.4%). Native Americans and Alaskan Natives had an approximate diabetes prevalence of 6.4 per 1000 in 1990 and increased to 9.3 per 1000 in 1998 (approximately 45% increase) in children and young adults under the age of 35 years. Other research suggests a high prevalence of diabetes in Asia. 16,17

According to estimates based on data from the U.S. Census Bureau, approximately one-third of Americans are at risk of developing diabetes mellitus during their lifetime.¹⁸ With increasing industrialization and globalization, there is a concomitant increasing prevalence of diabetes that is leading to a worldwide epidemic.¹⁹ An alarming increase in the frequency of type 2 diabetes in the pediatric age group has been noted in several countries, ^{10,20-24} including in the United States, and has been associated with the increased frequency of childhood obesity.²⁵ Diabetes is one of the most common diseases in school-aged children. Clearly, these trends predict an increase in the number of individuals with diabetes as well as the associated increased costs for health care and the burdens of disability associated with diabetes and its complications. In addition, there is evidence suggesting that diabetes develops at earlier ages and carries a higher incidence of complications among ethnic minorities.²⁶⁻²⁸

Prevalence of Diabetic Retinopathy

Diabetic retinopathy is a leading cause of new cases of legal blindness among working-age Americans and represents a leading cause of blindness in this age group worldwide.²⁹ The prevalence rate for retinopathy for all adults with diabetes aged 40 and older in the United States is 28.5% (4.2 million people); worldwide, the prevalence rate has been estimated at 34.6% (93 million people).^{30,31} An estimate of the prevalence rate for vision-threatening diabetic retinopathy in the United States is 4.4% (0.7 million people). Worldwide, this prevalence rate has been estimated at 10.2% (28 million people).^{30,31} Assuming a similar prevalence of diabetes mellitus, the projected prevalence of individuals with any diabetic

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retinopathy in the United States by the year 2020 is 6 million persons, and 1.34 million persons will have vision-threatening diabetic retinopathy.

The prevalence of diabetic retinopathy increases with increasing duration of disease. In the United States, the prevalence is predicted to increase as the incidence and duration of diabetes in the population increases. More than 50% of worldwide visual impairment or blindness from diabetic retinopathy is estimated to exist in the Asia-Pacific region (51% of all those with blindness due to diabetic retinopathy globally [n=424,400], and 56% of those with visual impairment). Prevalence rates of diabetic retinopathy in patients with diabetes range from 10% in India to 43% in Indonesia. The Chinese American Study has found slightly lower prevalence rates of diabetic retinopathy in Chinese American than in Latino type 2 patients (35.8% in Chinese Americans vs. 42.0% in Latinos). Increasing duration of diabetes was associated with higher probability of diabetic retinopathy in Latinos than Chinese Americans, even after controlling for other known predictors.

RISK FACTORS

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35 36 Duration of diabetes is a major risk factor associated with the development of diabetic retinopathy. After 5 years, approximately 25% of type 1 patients will have retinopathy. After 10 years, almost 60% will have retinopathy, and after 15 years, 80% will have retinopathy. 34,35 In the Wisconsin Epidemiologic Study of Diabetic Retinopathy (WESDR) for patients 30 and younger, proliferative diabetic retinopathy (PDR), the most vision-threatening form of the disease, was present in approximately 50% of type 1 patients who had the disease for 20 years. 36 In the Los Angeles Latino Eye Study (LALES) and in Proyecto VER (Vision, Evaluation and Research), 18% of participants with diabetes of more than 15 years' duration had PDR, and there was no difference in the percentage with PDR between those with type 1 and type 2 diabetes. 35,37 In the recent Singapore Eye Disease Study, independent risk factors for any diabetic retinopathy included Indian ethnicity, diabetes duration, HbA_{1c}, serum glucose, and systolic blood pressure ³⁸ Diastolic blood pressure, total cholesterol, and low-density lipoprotein cholesterol were associated with lower odds of any diabetic retinopathy. In a study of First Nations people in Canada, HbA_{1c} and systolic blood pressure were found to be independent predictors of 2-step progression of diabetic retinopathy (hazard ratio, 1.42; P<0.0001) and systolic blood pressure (hazard ratio, 1.24 per 10 mm Hg; P=0.009). Of type 2 patients over the age of 30 who have a known duration of diabetes of less than 5 years, 40% of those patients taking insulin and 24% of those not taking insulin have retinopathy. These rates increase to 84% and 53%, respectively, when the duration of diabetes has been documented for up to 19 years. 40 Proliferative diabetic retinopathy develops in 2% of type 2 patients who have diabetes for less than 5 years and in 25% of patients who have diabetes for 25 years or more. 40 Comparisons of information from WESDR and more recent population-based studies such as Proyecto VER and

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1 LALES may reflect differences in blood glucose and hypertension management that have occurred 2 over time. 3 Blood sugar and blood pressure control are the key modifiable risk factors associated with the development of diabetic retinopathy. 41 Support for this association is based on both clinical trials and 4 epidemiologic studies. 42-49 There is general agreement that duration of diabetes and severity of 5 hyperglycemia are the major risk factors for developing retinopathy. 41,50-53 Once retinopathy is 6 7 present, duration of diabetes appears to be a less important factor than glycemic control in forecasting progression from earlier to later stages of retinopathy. 54,55 It is recommended that a HbA_{1c} of 7% or 8 lower is the target for glycemic control in most patients, whereas in selected patients, there may be 9 some benefit to setting a lower target of 6.5%. ⁵⁶ In fact, an increase in HbA_{1c} corresponds to an 10 increased risk of diabetic macular edema (DME).⁵⁷ 11 12 Treatment of hypertension remains important, although the benefits of intensive management of hypertension is inconclusive. 58,59 Large studies have suggested that management of serum lipids may 13 reduce retinopathy progression and the need for treatment. ⁶⁰⁻⁶⁴ There is less agreement among studies 14 15 concerning the importance of other factors such as age, type of diabetes, clotting factors, renal 16 disease, physical inactivity, inflammatory biomarkers, and use of angiotensin-converting enzyme inhibitors, 54,61,65-69 Many of these factors are associated with substantial cardiovascular morbidity and 17 18 mortality and other complications associated with diabetes. Thus, ophthalmologists should encourage 19 patients with diabetes to be as compliant as possible with therapy of all medical aspects of their disease.70,71 20 More recently, lipid-lowering agents have shown a positive effect on slowing progression of diabetic 21 22 retinopathy. In a recent meta-analysis, lipid-lowering agents showed a protective effect on diabetic retinopathy progression and suggest a possible reduced risk of developing DME. Despite this, there 23 24 was no effect on visual acuity or on the presence of hard exudates.⁷² 25 There is conflicting evidence that genetics and epigenetic factors may explain differences in 26 progression rates of diabetic retinopathy between groups of individuals with similar duration of 27 diabetes or HbA_{1c} levels. A study found that mitochondrial genetic haplogroups modify the risk for 28 progression of disease despite similar HbA_{1c} level and duration of diabetes. For patients with haplogroup H, longer diabetes duration and increasing HbA_{1c} level were significant risk factors for 29 30 PDR (P=0.0001 and P=0.011, respectively). However, for patients with haplogroup UK, neither diabetes duration nor HbA_{1c} level was a significant risk factor for PDR.⁷³ A larger more recent study 31 looking at the same haplotypes failed to show that association.⁷⁴ 32 33 Another genetic study evaluated patients with type 2 diabetes who were carriers of the HMGA1 34 rs139876191 variant. Patients with this variant had a significantly lower risk of developing PDR compared with noncarrier diabetic patients.⁷⁵ It is believed that the HMGA1 rs139876191 variant 35 confers protection by downregulating the expression of vascular endothelial growth factor A in 36 37 diabetic retinopathy.

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Metabolic syndrome refers to a group of risk factors that increases the risk for developing heart disease, diabetes, and stroke. ⁷⁶ Metabolic syndrome has also been found to be associated with microvascular and macrovascular disease in a study of patients with type 2 diabetes. More patients with metabolic syndrome had higher rates of albuminuria (40.8% vs 21.8%; P<0.001), retinopathy (37.9% vs 28.6%; P<0.001), coronary artery disease (19.4% vs 11.6%; P<0.001), cerebrovascular disease (5.8% vs 3.2%; P=0.014), and an ankle-brachial index of less than 0.9 or of 1.3 or higher (6.1% vs 3.0%; P=0.015). There was also a trend for stepwise increases in albuminuria, retinopathy, coronary artery disease, cerebrovascular disease, and peripheral artery disease corresponding to the number of metabolic syndrome components (all P for trend <0.05). Screening programs for metabolic syndrome may therefore be helpful in finding patients at higher risk for progression. In a recent study of 50,254 eyes, baseline features and level of nonproliferative diabetic retinopathy (NPDR) were associated with 5-year progression to PDR. 78 Eyes with IRMA had an increased hazard ratio of developing PDR (hazard ratio, 1.77; P=0.0013) compared with eyes with venous beading, and eyes with 4-quadrant dot-blot hemorrhages had higher risk for developing vitreous hemorrhage (hazard ratio, 3.84; P=0.0095). For eyes with PDR, the Diabetic Retinopathy Clinical Research Network (DRCR.net) Protocol S study found that worse baseline levels of PDR were associated with an increased risk of PDR progressing, regardless of treatment with anti-vascular endothelial growth factor (anti-VEGF) or panretinal photocoagulation (PRP) (64% [high-risk PDR or worse] vs 23% [moderate PDR or better]; hazard ratio, 3.97; P<0.001). In the PRP group, eyes receiving pattern scan versus conventional single-spot PRP were at higher risk for worsening PDR (60% vs 39%; hazard ratio, 2.04; P=0.008), regardless of the number of spots placed.⁷⁹

22 NATURAL HISTORY

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Diabetic retinopathy progresses in an orderly fashion from mild to more severe stages when there is not appropriate intervention. It is important to recognize the stages when treatment may be most beneficial. Several decades of clinical research have provided excellent data on the natural course of the disease and on treatment strategies that are 90% effective in preventing the occurrence of severe vision loss. ⁸⁰ The outcomes of key clinical trials form a solid foundation in support of treating diabetic retinopathy. The results of these studies are summarized in Appendices 3 and 4. Major studies include the following (see Glossary):

- ◆ Diabetes Control and Complications Trial (DCCT)^{44,81,82}
- Follow-up study to the DCCT titled Epidemiology of Diabetes Interventions and Complications
 (EDIC)^{43,45,62,83,84}
- 33 ♦ Diabetic Retinopathy Study (DRS)^{85,86}
- ◆ Early Treatment Diabetic Retinopathy Study (ETDRS)⁸⁷⁻⁸⁹
- **35** ◆ Diabetic Retinopathy Vitrectomy Study (DRVS)⁹⁰
- ♦ Wisconsin Epidemiologic Study of Diabetic Retinopathy (WESDR)⁹¹
- **37** ♦ Fenofibrate Intervention and Event Lowering in Diabetes (FIELD) study⁹²

1 ♦ Action to Control Cardiovascular Risk in Diabetes (ACCORD) trial⁹³

- ◆ Diabetic Retinopathy Clinical Research Network (DRCR.net) Protocol I, S, and T studies 94-96
- ◆ United Kingdom Prospective Diabetes Study (UKPDS) 46,58,97

The nonproliferative stages of diabetic retinopathy are characterized by retinal vascular related abnormalities such as microaneurysms, intraretinal hemorrhages, venous dilation, and cotton wool spots. Increased retinal vascular permeability that occurs at these or later stages of retinopathy may result in retinal thickening (edema) and lipid deposits (hard exudates). Clinically significant macular edema (CSME) is a term commonly used to describe retinal thickening and/or adjacent hard exudates that either involve the center of the macula or threaten to involve it. Patients with CSME should be considered for prompt treatment, particularly when the center of the macula is already involved or if retinal thickening and/or hard exudates are very close to the center (see Care Process). Clinically significant macular edema can be divided into center-involved and non-center-involved macular edema. (See Glossary.)

As diabetic retinopathy progresses, there is a gradual closure of retinal vessels that results in impaired perfusion and retinal ischemia. Signs of increasing ischemia include venous abnormalities (e.g., dilation, beading, loops), IRMA, and more severe and extensive vascular leakage characterized by increasing retinal hemorrhages and exudation. When these signs progress beyond certain defined thresholds, severe NPDR is diagnosed (see Table 1). Such patients should be considered candidates for treatment with panretinal (scatter) photocoagulation (see Care Process).

TABLE 1 DIABETIC RETINOPATHY DISEASE SEVERITY SCALE AND INTERNATIONAL CLINICAL DIABETIC RETINOPATHY DISEASE SEVERITY SCALE

D:-		Fig. 1. All and the constitutions of the constitution of the const
Disea	se Severity Level	Findings Observable upon Dilated Ophthalmoscopy
No a	pparent retinopathy	No abnormalities
Mild	NPDR (see Glossary)	Microaneurysms only
Mode	erate NPDR (see Glossary)	More than just microaneurysms but less than severe NPDR
Seve	re NPDR	
	U.S. definition	Any of the following (4-2-1 rule) and no signs of proliferative retinopathy:
		Severe intraretinal hemorrhages and microaneurysms in each of 4 quadrants
		 Definite venous beading in 2 or more quadrants
		Moderate IRMA in 1 or more quadrants
	International definition	Any of the following and no signs of proliferative retinopathy:
		 More than 20 intraretinal hemorrhages in each of 4 quadrants
		 Definite venous beading in 2 or more quadrants
		Prominent IRMA in 1 or more quadrants
PDR		One or both of the following:
		Neovascularization
		Vitreous/preretinal hemorrhage

IRMA = intraretinal microvascular abnormalities; NPDR = nonproliferative diabetic retinopathy; PDR = proliferative diabetic retinopathy NOTES:

- Any patient with two or more of the characteristics of severe NPDR is considered to have very severe NPDR.
- PDR may be classified as high-risk and non-high-risk. See Table 6 for more information.

Adapted with permission from Wilkinson CP, Ferris FL III, Klein RE, et al. Proposed international clinical diabetic retinopathy and diabetic macular edema disease severity scales. Ophthalmology 2003;110:1679

1	
2	The more advanced stage, PDR, is characterized by the onset of neovascularization at the inner
3	surface of the retina and into the vitreous induced by more global retinal ischemia. New vessels on or
4	near the optic disc (NVD) and new vessels elsewhere in the retina are prone to bleed, resulting in
5	vitreous hemorrhage. These new vessels may undergo fibrosis and contraction; this and other fibrous
6	proliferation may result in epiretinal membrane formation, vitreoretinal traction, retinal tears, and
7	retinal detachments. When new vessels are accompanied by vitreous hemorrhage, or when NVD
8	occupy greater than or equal to about one-quarter to one-third disc area, even in the absence of
9	vitreous hemorrhage, PDR is considered high-risk. (See Glossary.) Neovascular glaucoma can result
10	from new vessels growing on the iris and anterior chamber angle structures. Patients with neovascular
11	glaucoma or high-risk PDR should receive prompt PRP, and their treating ophthalmologist could also
12	consider initiating anti-VEGF therapy (see Care Process and Glossary).
13	Table 1 classifies diabetic retinopathy by severity based on clinical findings. The ETDRS
14	classification (Appendix 5) has clinical significance because risk of diabetic retinopathy progression
15	is associated with increasing severity level. ^{87,88,98,99} A higher risk of incident DME in eyes with more
16	severe levels of baseline NPDR has been reported. 100,101
17	A study of 2240 youths (21 or younger) with type 1 diabetes and 1768 youths with type 2 diabetes
18	evaluated the rates of diabetic retinopathy development between type 1 and type 2 diabetics. 102 Rates
19	of developing diabetic retinopathy were 20.1% for type 1 and 7.2% for type 2 over a median follow-
20	up time of 3.2 and 3.1 years, respectively. Survival curves demonstrated that type 1 patients
21	developed diabetic retinopathy faster than type 2 youths (P<0.0001). The hazard for diabetic
22	retinopathy increases with increasing HbAl _{c.}

1

CARE PROCESS

2 The care process for diabetic retinopathy includes a medical history, a regular ophthalmologic examination or 3 screening of high-quality retinal photographs of patients who have not had previous treatment for diabetic 4 retinopathy or other eye disease, and regular follow-up. The purpose of an effective screening program is to 5 determine who needs to be referred to an ophthalmologist for close follow-up and possible treatment, and 6 who may simply be screened annually. Early detection of retinopathy depends on educating patients who 7 have diabetes, as well as their family, friends, and health care providers, about the importance of regular eye 8 examination even though the patient may be asymptomatic. In lay terms, patients must be informed that they 9 may have good vision and no ocular symptoms but that they may still have significant disease that needs 10 treatment. They should be educated that early treatment works best and that is why they need to return for an 11 annual eye examination, even when their vision is good. Individuals with type 2 diabetes mellitus without 12 diabetic retinopathy should be encouraged to have an annual dilated eye examination to detect the onset of diabetic retinopathy. 34,40,103-120 Individuals with type 1 diabetes mellitus without diabetic retinopathy should 13 have annual dilated eye examinations beginning 5 years after the onset of diabetes.^{34,121} The recommended 14 15 timing of the first ophthalmic examination and subsequent follow-up examinations for patients with diabetes 16 is listed in Table 2 and described in the Management section.

17

TABLE 2 RECOMMENDED EYE EXAMINATIONS FOR PATIENTS WITH DIABETES MELLITUS AND NO DIABETIC RETINOPATHY

Diabetes Type	Recommended Initial Evaluation	Recommended Follow-up*
Type 1'	5 years after diagnosis ³⁴	Yearly ³⁴
Type 2'	At time of diagnosis ^{40,122}	Yearly ^{40,122}
Pregnancy‡ (type 1 or type 2)	Soon after conception and early in the first trimester 123-125	No retinopathy to mild or moderate NPDR: every 3–12 months 123-125
		• Severe NPDR or worse: every 1–3 months ¹²³⁻¹²⁵

- NPDR = nonproliferative diabetic retinopathy
- 19 * Abnormal findings may dictate frequent follow-up examinations.
- 20 'Pubertal patients require increased vigilance due to increased risk of progression
- *Women who develop gestational diabetes do not require an eye examination during pregnancy and do not appear to be at increased
 risk for diabetic retinopathy during pregnancy.
- 23 **

- Maintaining near-normal glucose levels and near-normal blood pressure lowers the risk of retinopathy developing and/or progressing, 43,44,46,58,126 so patients should be informed of the importance of maintaining good glycosylated hemoglobin levels, serum lipids, and blood pressure. Aspirin may be used by diabetic patients for other medical indications without concern that the aspirin therapy will worsen diabetic
- 29 retinopathy or worsen a vitreous hemorrhage should it occur. 127,128

PATIENT OUTCOME CRITERIA

2	Patient outcome criteria include the following:
3	◆ Improvement or stabilization of visual function
4	◆ Improvement or stabilization of vision-related quality of life
5	• Optimal control of blood glucose, blood pressure, and other risk factors through close communication
6	with the patient's primary care physician on the status of the diabetic retinopathy and the need for
7	optimal metabolic control
8	DIAGNOSIS
9	The initial examination for a patient with diabetes mellitus includes all features of the comprehensive
10	adult medical eye evaluation, 129 with particular attention to those aspects relevant to diabetic
11	retinopathy.
12	History
13	An initial history should consider the following elements:
14	◆ Duration of diabetes ^{34,54,130}
15	◆ Past glycemic control (HbA _{1c}) ^{54,82,130}
16	◆ Medications
17	◆ Medical history (e.g., obesity, renal disease, ^{34,40} systemic hypertension, ^{34,40} serum lipid levels, ¹³¹
18	pregnancy, 123,124 neuropathy)
19	◆ Ocular history (e.g., trauma, other eye diseases, ocular injections, surgery, including retinal laser
20	treatment and refractive surgery)
21	Examination
22	The initial examination should include the following elements:
23	♦ Visual acuity ¹³²
24	◆ Slit-lamp biomicroscopy
25	◆ Intraocular pressure (IOP)
26	• Gonioscopy before dilation, when indicated. Iris neovascularization is best recognized prior to
27	dilation. When neovascularization of the iris is present or suspected, or if the IOP is elevated,
28	undilated gonioscopy can be used to detect neovascularization in the anterior chamber angle.
29	 Pupillary assessment for optic nerve dysfunction
30	◆ Thorough fundoscopy, including stereoscopic examination of the posterior pole ⁸⁹
31	◆ Examination of the peripheral retina and vitreous
32	A dilated pupil is preferred to ensure optimal examination of the retina, because only 50% of
33	eyes are correctly classified for the presence and severity of retinopathy through undilated
34	pupils. 133 Slit-lamp biomicroscopy is the recommended method to evaluate retinopathy in the

1	posterior pole and midperipheral retina with a 90D or 78D lens. 89 Examination of the peripheral
2	retina is best performed using indirect ophthalmoscopy or slit-lamp biomicroscopy.
3	Because treatment is effective in reducing the risk of visual loss, a detailed examination is
4	indicated to assess for the following features that often lead to visual impairment:
5	◆ Macular edema
6	◆ Signs of severe NPDR (extensive retinal hemorrhages/microaneurysms, venous beading, and
7	IRMA)
8	◆ Optic nerve head neovascularization and/or neovascularization elsewhere
9	◆ Vitreous or preretinal hemorrhage
10	Examination Schedule
11	Type 1 Diabetes
12	Many studies of patients with type 1 diabetes have reported a direct relationship between
13	the prevalence and severity of retinopathy and the duration of diabetes. 40,134,135 The
14	development of vision-threatening retinopathy is rare in children prior to puberty. 134,136
15	Among patients with type 1 diabetes, substantial retinopathy may become apparent as early
16	as 6 to 7 years after onset of the disease. ³⁴ Ophthalmic examinations are recommended
17	beginning 5 years after the diagnosis of type 1 diabetes and annually thereafter, which will
18	detect the vast majority of type 1 patients who require therapy. ^{34,121} Patient education about
19	the visual impact of early glucose control is important and should begin with the onset of
20	disease.
21	Type 2 Diabetes
22	The time of onset of type 2 diabetes is often difficult to determine and may precede the
23	diagnosis by a number of years. 137 Up to 3% of patients whose diabetes is first diagnosed at
24	age 30 or later will have CSME or high-risk features at the time of the initial diagnosis of
25	diabetes.34 About 30% of patients will have some manifestation of diabetic retinopathy at
26	diagnosis. Therefore, the patient should be referred for ophthalmologic evaluation at the
27	time of diagnosis. 40,122
28	Diabetes Associated with Pregnancy
29	Diabetic retinopathy can worsen during pregnancy due to the physiologic changes of
30	pregnancy itself or changes in overall metabolic control. 123-125 Patients with diabetes who
31	plan to become pregnant should have an ophthalmologic examination prior to pregnancy
32	and counseled about the risk of development and/or progression of diabetic retinopathy.
33	The obstetrician or primary care physician should carefully guide the management of the
34	pregnant patient with diabetes' blood glucose, blood pressure, as well as other issues
35	related to pregnancy. 123-125 During the first trimester, an eye examination should be
36	performed with repeat and follow-up visits scheduled, depending on the severity of

1	retinopathy. (See Table 2.) Women who develop gestational diabetes ¹³⁸ do not require an
2	eye examination during pregnancy and do not appear to be at increased risk for diabetic
3	retinopathy during pregnancy.
4	After the examination, the ophthalmologist should discuss the results and their implications
5	with the patient. Both eyes should be classified according to the categories of diabetic
6	retinopathy and macular edema discussed in the Natural History and Treatment sections.
7	Each category has an inherent risk for progression and is dependent upon adherence to
8	overall diabetes control. Thus, the diagnostic category, combined with the level of diabetes
9	control, determines the timing for both the intervention and follow-up examination.
10	Diabetes in Puberty
11	Patients with diabetes have an accelerated rate of diabetic retinopathy progression during
12	puberty. This relative risk has been reported to be 4.8 in pubescent patients compared with
13	their prepubescent counterparts despite similar durations of diabetes mellitus. 121
14	Ancillary Tests
15	If used appropriately, a number of tests ancillary to the clinical examination may enhance
16	patient care. The most common tests include the following:
17	◆ Color and red-free fundus photography
18	◆ Optical coherence tomography (OCT)
19	◆ Fluorescein angiography (FA)
20	◆ OCT angiography ¹³⁹⁻¹⁴²
21	◆ B-scan ultrasonography
22	Color Fundus Photography
23	Fundus photography (with or without pupillary dilation) is a reproducible technique for
24	detecting diabetic retinopathy and has been used in large clinical research studies. Fundus
25	photography is also useful for documenting the severity of the diabetes, the presence of
26	new vessels elsewhere in the retina and NVD, the response to treatment, and the need for
27	additional treatment at future visits.
28	Optical Coherence Tomography
29	Optical coherence tomography provides high-resolution imaging of the vitreoretinal
30	interface, neurosensory retina, and subretinal space. It can be used to quantify retinal
31	thickness, monitor macular edema, identify vitreomacular traction, and detect other forms
32	of macular disease in patients with DME. 143-148 (See Table 3.) Large clinical trials testing
33	anti-VEGF treatment have utilized OCT rather than stereoscopic photographs or clinical
34	examination to evaluate and follow macular edema status because it allows an objective,
35	accurate assessment of the amount and location of retinal thickening. 94,149-153 In clinical
36	practice, decisions are often based on OCT findings. For example, the decision to treat with

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anti-VEGF injections, change therapeutic agents (e.g., intraocular corticosteroids), initiate laser treatment, or even consider vitrectomy surgery is often based in part on OCT findings. Nevertheless, retinal thickness, even when measured by OCT, is not always consistently correlated with visual acuity. Optical coherence tomography can demonstrate the microstructural changes secondary to ischemia. Loss of inner retinal layers at the fovea with high-resolution spectral-domain OCT has been shown to correlate with vision loss in eyes with diabetic macular ischemia.

TABLE 3 USE OF OPTICAL COHERENCE TOMOGRAPHY FOR DIABETIC RETINOPATHY

Situation	Usually	Occasionally
To evaluate unexplained visual acuity loss	•	
To detect, quantify and monitor DME	•	
To identify areas of vitreomacular traction	•	
To evaluate patients with difficult and/or questionable examinations for DME	•	
To investigate other causes of macular swelling		•
To screen a patient with no or minimal diabetic retinopathy		•

DME = diabetic macular edema

Fluorescein Angiography

Routine FA is not indicated as a part of the regular examination of patients with diabetes. Clinical examination, OCT, and/or FA are used in the diagnosis of macular edema and PDR. As the use of anti-VEGF agents and intraocular corticosteroids has increased for the treatment of macular edema, the use of focal laser surgery has decreased. Therefore, the need for angiography that localizes leaking microaneurysms or areas of capillary dropout has also declined.

Nevertheless, FA is useful to differentiate diabetic macular swelling from other macular disease or for a patient with unexplained vision loss. (See Table 4.) Angiography can identify macular capillary nonperfusion¹⁵⁶ appearing as enlargement of the foveal avascular zone or anywhere in the macular region as an explanation for vision loss that is unresponsive to therapy. Fluorescein angiography may also detect areas of untreated retinal capillary nonperfusion that could explain persistent retinal or disc neovascularization after previous scatter laser surgery. Advances in widefield FA have shown improved detection of peripheral ischemia and peripheral lesions, including neovascularization that may not be clinically apparent. ¹⁵⁷ Thus, FA remains a valuable tool, and facilities for conducting FA should be available to physicians who diagnose and treat patients with diabetic retinopathy.

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TABLE 4 Use of Fluorescein Angiography for Diabetic Retinopathy

Situation	Usually	Occasionally	Never
To guide laser treatment of CSME	•		
To evaluate unexplained visual loss	•		
To identify suspected but clinically obscure retinal neovascularization	•		
To rule out other causes of macular swelling		•	
To identify large areas of capillary nonperfusion		•	
To evaluate patients with difficult and/or questionable examinations for DME		•	
To screen a patient with no or minimal diabetic retinopathy		C	•

CSME = clinically significant macular edema; DME = diabetic macular edema

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An ophthalmologist who orders FA must be aware of the potential risks associated with the procedure, because severe medical complications may occur, including death in about 1/200,000 patients. Each angiography facility should have in place an emergency care plan and a clear protocol to minimize the risks and to manage complications. Fluorescein dye crosses the placenta into the fetal circulation, but detrimental effects of fluorescein dye on a fetus have not been documented.

Optical Coherence Tomography Angiography

The use of OCT angiography (OCTA) has added a new perspective on our understanding of diabetic retinopathy. Although the technology is FDA approved, the guidelines and indications for use during screening and management of diabetic retinopathy are currently evolving. The major advances offered by OCTA have been its noninvasive nature and the ability to visualize depth-resolved, capillary-level abnormalities in the three retinal plexuses, offering a much more quantitative assessment of macular ischemia. $^{139\text{-}142,160\text{-}163}$ Even though the technology is very effective at revealing vascular abnormalities, including neovascularization on the surface of the retina and optic nerve, it is not capable of visualizing leakage, which could be construed as possible limitation, though it permits a much better unperturbed view of the underlying ischemia. 164,165 Using this technique preclinical microvascular changes can be detected, 166 regions of macular nonperfusion can be quantified, where studies have shown that nonperfusion correlates to severity of diabetic retinopathy, ^{140,162} and retinal neovascular tissue can be identified. ^{167,168} The current limitations include projection artifacts and the lack of consensus on segmentation algorithms. 169,170 They should also include a reduced field of view, which limits the view of peripheral retinal ischemia and neovascularization unless the clinicians use image montages. 171-173

Ultrasonography

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Ultrasonography is an extremely valuable diagnostic tool that enables assessment of the status of the retina in the presence of a vitreous hemorrhage or other media opacity. It can be used to assess the amount of vitreous hemorrhage and to define the extent and severity of vitreoretinal traction and diagnose diabetic retinal detachments in the setting of media opacity. **MANAGEMENT** Untreated diabetic retinopathy and its accompanying visual loss result in a substantial economic burden on patients, their family and society. Treatment with laser, anti-VEGF agents, or intravitreal corticosteroids is cost-effective for managing diabetic retinopathy to varying degrees. ^{174,175} Choice of laser, individual anti-VEGF agents, or approved intravitreal corticosteroids should be individually tailored based on discussion between the patient and physician. Management of diabetic retinopathy includes following a healthy diet and lifestyle, medical management, timely ophthalmic evaluation, and treatment under the care of an ophthalmologist. Because patients with diabetes may be under the care of multiple practitioners, effective communication and care coordination is necessary to optimize care. ¹⁷⁶ Physicians and patients need to be educated and informed of the need for ophthalmic referral and routine surveillance. Finally,

Prevention of Diabetic Retinopathy

time for adequate delivery of therapeutic effect.

 A healthy diet and lifestyle that includes exercise and weight control may decrease the risk of developing diabetes in some patients. The visual complications of diabetes mellitus can at least be moderated by a healthy lifestyle; however, diabetes complications simply cannot be prevented in all cases.

patients need to understand that current treatments often require multiple visits and evaluations over

The DCCT showed that the development and progression of diabetic retinopathy in patients with type 1 diabetes can be delayed when the HbA_{1c} is optimized. (See Appendix 4.) Establishing a close partnership between the ophthalmologist and the primary care physician is an important step to ensure optimal patient care. Furthermore, it is important to help educate patients with diabetes as well as their primary care physician about the ophthalmologic implications of controlling blood glucose (as monitored by HbA_{1c}) to as near normal as is safely possible. Results from multiple studies have demonstrated the value of controlling blood glucose, serum lipid levels, and blood pressure in patients with type 2 diabetes. (See Appendix 4 for further information.)

The ETDRS found that aspirin therapy at a dose of 650 mg per day does not slow the progression of diabetic retinopathy. Also, any aspirin therapy did not cause more severe, more frequent, or longer-lasting vitreous hemorrhages in patients with PDR. As such, aspirin appears to be neither helpful nor harmful in the management of diabetic retinopathy. Therefore,

no recommended changes in medically administered aspirin therapy are indicated in the setting of diabetic retinal disease.

Early Detection of Diabetic Retinopathy

Diabetic retinopathy may be asymptomatic for years, even at an advanced stage, so screening, using new technologies such as telemedicine, is essential to identify, monitor, and guide the treatment of disease. When visual complications occur, treatment preserves visual function and is believed to yield a substantial cost savings when compared with the direct costs for individuals disabled by vision loss (see Socioeconomic Considerations section). According to the National Committee for Quality Assurance's Health Plan Employers Data Information Set System, national monitoring of quality data has shown a slow but definite trend toward improving rates of screening examinations. ¹⁷⁹ Still, screening rates remain lower than ideal in spite of evidence supporting the effectiveness of treatment. Physicians who care for patients with diabetes, and patients themselves, need to be educated about indications for ophthalmologic referral. (See Table 5.)

TABLE 5 INITIAL MANAGEMENT RECOMMENDATIONS FOR PATIENTS WITH DIABETES

Severity of Retinopathy	Presence of Macular Edema	Follow-up (Months)	Panretinal Photocoagulation (Scatter) Laser	Focal and/or Grid Laser*	Intravitreal Anti-VEGF Therapy
Normal or minimal NPDR	No	12	No	No	No
Mild NPDR	No	12	No	No	No
	NCI-DME	3–6	No	Sometimes	No
	CI-DME [†]	1*	No	Rarely	Usually
Moderate NPDR	No	6-12 [‡]	No	No	No
	NCI-DME	3–6	No	Sometimes	Rarely
	CI-DME [†]	1*	No	Rarely	Usually
Severe NPDR	No	3-4	Sometimes	No	Sometimes
	NCI-DME	2–4	Sometimes	Sometimes	Sometimes
	CI-DME [†]	1*	Sometimes	Rarely	Usually
Non-high-risk PDR	No	3-4	Sometimes	No	Sometimes
	NCI-DME	2-4	Sometimes	Sometimes	Sometimes
	CI-DME [†]	1*	Sometimes	Sometimes	Usually
High-risk PDR	No	2-4	Recommended	No	Sometimes ^{95,188}
	NCI-DME	2-4	Recommended	Sometimes	Sometimes
	CI-DME [†]	1*	Recommended	Sometimes	Usually

Anti-VEGF = anti-vascular endothelial growth factor; CI-DME = center-involved diabetic macular edema; NCI-DME = noncenter-involved diabetic macular edema; NPDR = nonproliferative diabetic retinopathy; PDR = proliferative diabetic retinopathy

^{*} Adjunctive treatments that may be considered include intravitreal corticosteroids or anti-VEGF agents (off-label use, except aflibercept and ranibizumab). Data from the Diabetic Retinopathy Clinical Research Network in 2011 demonstrated that, at 2 years of follow-up, intravitreal ranibizumab with prompt or deferred laser resulted in greater visual acuity gain and intravitreal triamcinolone acetonide plus laser also resulted in greater visual gain in pseudophakic eyes compared with laser alone. 189 Individuals receiving the intravitreal injections of anti-VEGF agents may be re-examined as early as 1 month following injection.

For patients with good visual acuity (20/25 or better) and CI-DME, there is no difference between observation plus aflibercept if visual acuity decreases, focal laser plus aflibercept if visual acuity decreases, or anti-VEGF treatment. It is appropriate to defer treatment until visual acuity is worse than 20/25. 190 Exceptions include hypertension or fluid retention associated with heart failure, renal failure, pregnancy, or any other causes that may aggravate macular edema. Deferral of photocoagulation for a brief period of

medical treatment may be considered in these cases. ¹⁹¹ Also, deferral of NCI-DME if visual acuity is excellent (better than 20/32), close follow-up is possible, and the patient understands the risks.

[‡] Or at shorter intervals if signs approaching those of severe NPDR appear.

Analyses from two clinical trials show that treatment for diabetic retinopathy may be 90% effective in preventing severe vision loss (visual acuity <5/200) using current therapeutic treatment strategies. Although effective treatment is available, fewer patients with diabetes are referred by their primary care physicians for ophthalmic care than would be expected according to guidelines by the American Diabetes Association and the American Academy of Ophthalmology. In two community-based studies, 43% to 65% of participants had not received a dilated eye examination at the time of enrollment.

The purpose of an effective screening program for diabetic retinopathy is to determine who needs to be referred to an ophthalmologist for close follow-up and possible treatment and who may simply be screened annually. Some studies have shown that screening programs using digital retinal images taken with or without dilation may enable early detection of diabetic retinopathy along with an appropriate referral. Optical coherence tomography appears to be an effective and sensitive imaging tool for detecting DME as long as there are no other causes for cystoid macular edema. (I+, Good quality, Strong recommendation)

Studies have found a positive association between participating in a photographic screening program and subsequent adherence to receiving recommended comprehensive dilated eye examinations by a clinician. ^{114,115} Of course, such screening programs are more relevant when access to ophthalmic care is limited. ¹¹⁶⁻¹¹⁹ Screening programs should follow established guidelines. ¹²⁰ Given the known gap in accessibility of direct ophthalmologic screening, retinal imagining screening programs may help increase the chances that at-risk individuals will be promptly referred for more detailed evaluation and management.

Medical and Surgical Management

Management recommendations for patients with diabetes are described according to severity of the retinopathy as well as the presence and type of DME. Diabetic macular edema should be classified as either center-involved (CI-DME) or noncenter-involved DME (NCI-DME). Follow-up recommendations and treatment options based on severity of disease are summarized in Table 5. Diabetic macular edema can be present in all stages of diabetic retinopathy. Clinicians need to consider certain treatment interactions when deciding treatment options. For example, DME can worsen following PRP for PDR. ¹⁸⁴ There have been case reports of idiosyncratic macular edema that is temporally associated with use of the glitazone class of oral antihyperglycemic agents. ^{185,186} Alternatively, the severity of diabetic retinopathy can improve in eyes receiving treatment with anti-VEGF treatment for DME. ^{95,187} Table 5 provides guidance for managing of patients with diabetes; however, individual patient needs may vary. Table 6 summarizes the side effects and complications associated with currently available treatments.

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'	Diabetic Maculai Edellia
2	Historically, CSME is defined by the ETDRS to include any of the following features:
3	 Thickening of the retina at or within 500 μm of the center of the macula
4	 Hard exudates at or within 500 μm of the center of the macula, when associated with
5	adjacent retinal thickening. (This criteria does not apply to residual hard exudates that
6	remain after successful treatment of prior retinal thickening.)
7	◆ A zone or zones of retinal thickening 1 disc area or larger, where any portion of the
8	thickening is within 1 disc diameter of the center of the macula
9	Because the risk of visual loss is greatest if macular edema is at the center of the macula
10	DME is now subdivided as either center involved (CI-DME) or noncenter-involved (NCI-
11	DME) . OCT is the best way to detect and quantitate CI-DME and recent clinical trials
12	have required CI-DME as inclusion criteria. A Diabetic Retinopathy Clinical Research
13	Newtwork (DRCR.net) study determined a reasonable clinical threshold for CI-DME was a
14	central macular thickness 2 standard deviations above the normative study population of
15	diabetics without macular edema. 192 Changes in central macular thickness measurements
16	based on OCT is a useful marker for assessing response to treatment. Treating
17	ophthalmologists should be familiar with relevant studies and techniques as described in
18	the ETDRS, trials under the guidance of the DRCR.net Protocol trial, 94 and other studies
19	involving anti-VEGF treatment. 89,156
20	Treatment Deferral
21	Patients commonly present with good visual acuity despite the presence of CI-DME. An
22	estimated 40% of eyes with DME in the ETDRS had visual acuity of 20/20 or better. 193
23	Studies that have demonstrated the benefit of anti-VEGF therapy for CI-DME required
24	visual acuity loss (20/32 or worse). DRCR Protocol V found that in eyes with
25	CI-DME and visual acuity of 20/25 or better, there was no difference in visual acuity
26	loss in eyes treated with aflibercept, focal laser photocoagulation with aflibercept if
27	visual acuity decreased per criteria, or observation with aflibercept if visual acuity
28	decreased per criteria. 190 The visual criteria for adding aflibercept to the laser or
29	observation strategy were a decrease from baseline by at least 10 letters (\geq 2 lines on an
30	eye chart) at any one visit or by 5 to 9 letters (1 to 2 lines) at two consecutive visits.
31	After 2 years, all three strategies resulted in mean visual acuity of 20/20 and the central
32	subfield thickness on OCT did not significantly change compared with baseline. In eyes
33	with good visual acuity and CI-DME, treatment is reasonably deferred until the visual
34	acuity is affected (20/30 or worse). These patients should be examined every 2 to 4
35	months. ⁸⁹

Anti-Vascular Endothelial Growth Factor Therapy

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1 Multiple, high quality clinical trials have demonstrated that anti-VEGF therapy is more 2 effective in improving vision in CI-DME than monotherapy with focal laser treatment, supplanting it as the first-line therapy. 89,94,150,156,187,189,196-201 With a monthly or a 3 protocol-driven strategy such as DRCR.net studies with anti-VEGF, eyes with vision 4 5 worse than 20/32 or worse due to CI-DME gained around 2 lines of vision at 2 years 6 compared with stabilization of vision with focal treatment alone. This was demonstrated 7 with ranibizumab, bevacizumab, and aflibercept. A significant portion of patients in 8 these trials (30%-46%) underwent focal laser treatment. The timing of the laser— 9 deferred or prompt—did not affect the outcome. DRCR Protocol T, a head-to-head trial 10 comparing bevacizumab, ranibizumab, and aflibercept, demonstrated effectiveness for 11 all three agents with comparable safety profile in eyes with CI-DME. For eyes with 12 visual acuity of 20/40 or better, the visual gains were similar between the three groups. 13 In eyes with visual acuity of 20/50 or worse, mean visual acuity gains were 18.3, 13.3 14 and 16.1 letters for aflibercept, bevacizumab, ranibizumab, respectively at 2 years, with 15 a statistically significant difference only found between aflibercept and bevacizumab 16 groups. In the second year, the average number of injections decreased to about half of 17 the number in the first year. Over 2 years, the percentage of eyes undergoing focal laser 18 for persistent edema was 41%, 64%, and 52% for aflibercept, bevacizumab, and 19 ranibizumab groups, respectively (all pairwise comparisons were P < 0.05). 20 The DRCR protocol for CI-DME starts with monthly injections for 4 to 6 months 21 initially, then allows for holding treatment if there is no improvement in vision or 22 central macular thickness, or if 20/20 vision and/or resolution of macular edema has 23 been achieved. If there is worsening vision or central macular thickness on subsequent 24 visits, injection is resumed. If consecutive visits do not require treatment, the follow up 25 interval is doubled up to 4 months. This approach has been demonstrated to reduce the number of injections while delivering excellent visual acuity gains. 26 27 An alternative approach to reducing the injection burden is treat-and-extend, whereby 28 the interval between visits is adjusted based on the treatment response. A recent 29 prospective trial showed that this approach is comparable in visual and anatomic results at 2 years to monthly dosing with fewer injections. ²⁰² 30 31 The DRCR.net Protocol T demonstrated that anti-VEGF therapy using either bevacizumab, ranibizumab, or aflibercept is effective treatment for CI-DME. 96 The 2-32 33 year results did not reveal a statistical difference among the three drugs in serious 34 adverse events and all three treatments provided substantial visual acuity improvement. 35 In eyes with visual acuity of 20/40 or better, there were no visual acuity differences 36 between treatment regimens. In eyes 20/50 or worse, aflibercept was superior to 37 ranibizumab and bevacizumab at year 1. However, at year 2, the mean visual acuity in the aflibercept group was superior only to the bevacizumab group. 155

The visual acuity gain and reduction in macular thickness following administration of 1 2 combined intravitreal ranibizumab, with prompt or deferred laser surgery, had better outcomes than laser alone after 2 years of follow-up. 189 Appendix 3 summarizes the 3 results of several studies that have demonstrated the benefit of different anti-VEGF 4 5 agents for CI-DME. Based on these studies, anti-VEGF therapy is the initial treatment 6 choice for CI-DME, with possible subsequent focal laser treatment for persistent edema. 7 The Ranibizumab for Edema of the Macula in Diabetes-2 (READ-2) study involved 8 126 patients randomized to either anti-VEGF therapy (in this case ranibizumab alone), 9 laser alone, or focal/grid laser combined with anti-VEGF therapy. (See Glossary.) The 10 group that received anti-VEGF therapy alone or with laser treatment did better than the group treated with laser alone. 203 The DRCR.net Protocol I also showed that anti-VEGF 11 with either prompt or deferred laser photocoagulation surgery was better than either 12 laser alone or laser combined with triamcinolone acetonide. 94 (See Glossary.) Prompt 13 laser demonstrated no additional benefit. During the 2 years of the RISE and RIDE 14 trials, approximately 30% of patients were treated with focal laser. 187 In the DRCR.net 15 Protocol I, 46% of patients were treated with laser for persistent CI-DME prior to the 3-16 year visit. 94 In this study, after 6 months of treatment, as-needed protocol was followed, 17 18 and the number of injections decreased in years 2 and 3 while visual acuity remained 19 stable. It is possible that focal laser for persistent macular edema despite anti-VEGF 20 treatment may reduce the number of injections. The studies above used ranibizumab, 21 whereas the Bevacizumab or Laser Treatment in the Management of Diabetic Macular 22 Edema (BOLT) study showed favorable outcomes for bevacizumab over macular laser treatment in eyes with CI-DME. 204 (See Glossary.) The DME and VEGF Trap-Eye: 23 Investigation of Clinical Impact (DA VINCI) study demonstrated better outcomes using 24 aflibercept over laser treatment for CI-DME. 195 (See Glossary.) A meta-analysis 25 26 provided additional evidence that both ranibizumab and aflibercept have superior efficacy for DME treatment compared with conventional laser. ²⁰⁵ (I++, Good Quality, 27 28 Strong Recommendation) 29 30 31 32 33 34 35

The most serious complication of anti-VEGF injections is infectious endophthalmitis with rates between 0.019% and 0.09% in clinical trial settings. ²⁰⁶ The use of topical povidone iodine is recommended for intravitreal injections as its non-use has been reported to have an unacceptably high risk of endophthalmitis. The use of routine antibiotic eye drops is not recommended before or following intravitreal injection procedures, because it does not decrease the risk of endophthalmitis. 207 Other complications, such as retinal detachment, cataract formation, and sustained elevated IOP are rare. 208-210 Individuals receiving the intravitreal injections of anti-VEGF agents may be examined at 1 month following therapy. (See Table 5.) Systematic adverse events, particularly thromboembolic events, have been considered a potential side effect of anti-VEGF treatment. An additional meta-analysis suggests there may be a modest increased risk of death and cerebrovascular events in

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patients receiving monthly therapy for two years. 211 (See Table 7.). However, a 2018 1 Cochrane systematic review has reported that there is "moderate certainty evidence" of 2 3 safety of anti-VEGF injections and as of 2019 no studies have shown a definite increased risk. 212 (I+, Moderate quality, Strong recommendation) 4 5 Laser Photocoagulation 6 The ETDRS demonstrated that focal laser photocoagulation reduces the risk of moderate vision loss in eyes with CSME. 85,213,214 The DRCR.net Protocols B and I 7 8 demonstrated a beneficial treatment effect of focal laser treatment for CI-DME . The 9 role of anti-VEGF in NCI-DME has not been studied, and the focal/grid laser treatment 10 option is recommended in this scenario. A modified ETDRS laser treatment is currently 11 recommended; it includes a less intense laser treatment, has greater spacing than for a grid, directly targets microaneurysms, and avoids foveal vasculature within at least 500 12 μm of the center of the macula. ²¹⁵ A recent Cochrane systematic review concluded that 13 14 laser photocoagulation reduces the changes of visual loss and increases those of partial to complete resolution of DME compared to no intervention at 1-3 years. ²¹⁶ (I, 15 Moderate quality, Strong recommendation) Preoperatively, the ophthalmologist should 16 discuss with the patient the side effects and risks of treatment. 89,156 Fluorescein 17 18 angiography prior to laser surgery for CSME can be helpful for identifying leaking 19 microaneurysms in areas of thickened retina. Fluorescein angiography is also useful for 20 detecting capillary dropout and pathologic enlargement of the foveal avascular zone, information that may be useful when planning focal laser treatment.⁸⁹ Optical coherence 21 22 tomography angiography can detect capillary drop out and enlarged an foveal avascular 23 zone; however, it does not reveal leakage. A posttreatment evaluation should be scheduled within 3 to 4 months of laser surgery.⁸⁹ Rarely, focal laser photocoagulation 24 25 surgery may induce subretinal fibrosis with choroidal neovascularization, a complication that may be associated with permanent central vision loss. 217-219 Other 26 27 than choroidal neovascularization, the most important factor associated with the 28 development of subretinal fibrosis includes both the more severe levels of subretinal hard exudates and elevated serum lipids prior to laser photocoagulation surgery. ²²⁰ 29 30 Steroids for Diabetic Macular Edema 31 Several studies have evaluated the use of intravitreal administration of short- and long-32 acting corticosteroids for the treatment of DME. Topical corticosteroids and periocular steroid injection demonstrated no significant benefit.²²¹ The role of intravitreal 33 34 triamcinolone acetonide was compared with focal laser photocoagulation surgery. 35 Treatment with intravitreal triamcinolone acetonide resulted in an early decrease in 36 retinal thickness at 4 months, yet by 24 months those patients randomized to focal/grid 37 laser photocoagulation surgery had better mean visual acuity. Of the triamcinolone

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group, half of phakic eyes underwent cataract surgery within 2 years and about 30% of eyes developed elevated IOP above 10 mm Hg compared with baseline. 222 At 3 years, these results were largely unchanged.²²³ A subsequent study showed that pseudophakic eyes treated with the combination of the intravitreal triamcinolone acetonide and focal laser had visual gains similar to eyes treated with anti-VEGF agents.²²⁴ The sustainedrelease dexamethasone implant for treatment naïve CI-DME improved visual acuity compared with sham treatment. In this study, the mean number of treatments was four to five injections over 3 years' time. 225 The fluorinolone acetonide implant for DME treatment study revealed improved visual acuity relative to sham at 3 years. At three years, 75% of patients were treated with only one implant. Rates of cataract extraction of phakic eyes was 74.9% with an implant versus 23.1% for sham. Rates of incisional glaucoma surgery were 3.7% versus 0.5% for sham at 2 years. 226 The DRCR.net phase II. randomized clinical trial evaluated the role of combination anti-VEGF treatment with intravitreous dexamethasone in a sustained-release drug delivery system to eyes with persistent CI-DME after at least three anti-VEGF injections in the previous 20 weeks. ²²⁷ The addition of the dexamethasone implant reduced central macular thickness; however there was no benefit in visual acuity. Pseudophakic eyes improved by 3 letters, but there was insufficient power to be confident of this effect. A Cochrane systematic review concluded that a combination of steroid with anti-VEGF did not provide additional benefit to anti-VEGF monotherapy. ²²⁸ (I, Moderate quality, Strong recommendation) However, the evidence base for this conclusion was rated as low-certainty given the relative paucity of studies with long-term follow-up. 228 Multiple studies consistently found that corticosteroids carry higher risk for cataract and elevated IOP compared with anti-VEGF therapy (See Table 6). 189,197 Studies of intravitreal corticosteroids for DME have evaluated them as first-line agents only. Because of their side-effect profile, including cataract progression and elevated IOP, they are generally used as second-line agents for DME, especially for phakic patients. To date, no large randomized clinical trial has evaluated the use of intravitreal corticosteroid injection as a rescue treatment for eyes with persistent DME after anti-VEGF injection therapy. Other Treatments When substantial vitreomacular traction is present, pars plana vitrectomy may improve visual acuity in selected patients who have diffuse CSME that is unresponsive to previous macular laser photocoagulation surgery and/or anti-VEGF therapy. 229-231 The DRCR.net Protocol D found that 38% of eyes with DME and vitreomacular traction had improved visual acuity, whereas 22% of eyes experienced visual acuity loss. However,

1	the value of vitrectomy in CSME is difficult to study in a randomized clinical trial, as
2	there are many variables that affect visual acuity. (See DRCR.net Protocol D. ²³²)
3	Because the majority of studies evaluating vitrectomy for DME precede the use of anti-
4	VEGF treatment, it is difficult to determine the role of vitrectomy with concomitant
5	anti-VEGF treatment.
6	Some authors have suggested that micropulse laser induces less damage to the
7	macula. ²³³ A recent meta-analysis found no difference in visual acuity with
8	conventional laser photocoagulation surgery compared with subthreshold diode
9	micropulse laser photocoagulation surgery. ²³⁴
10	A Cochrane systematic review did not find any randomized controlled clinical trials
11	evaluating use of NSAIDS for DME. ²³⁵
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TABLE 6 SIDE EFFECTS AND COMPLICATIONS OF TREATMENT FOR DIABETIC RETINOPATHY

Treatment	Side Effect/Complication		
Focal laser photocoagulation surgery for	Possible transient initial decrease in central vision		
DME	 Paracentral scotomas if laser burns have been placed close to the fovea, especially large or confluent burns²³⁶ 		
	 Permanent central scotoma from inadvertent foveal burns 		
	 Expansion of laser scar area (over many years) 		
	 Choroidal neovascularization and subretinal fibrosis 		
Panretinal photocoagulation (scatter) for	Transient central vision loss from macular edema ¹³²		
severe NPDR or PDR	Peripheral visual field constriction with delayed dark adaptation		
	Vitreous hemorrhage if neovascularization is present		
	 Reduced or compromised accommodation²³⁷ 		
	Pupillary dilation (mydriasis)		
Vitrectomy	Vitreous hemorrhage ^{238,239}		
	Retinal tear or detachment ²⁴⁰		
	• Vision loss ^{240,241}		
	 Infectious endophthalmitis²⁴² 		
	• Cataract ²⁴³		
Intravitreal injections	Ocular hemorrhage		
	• Elevated IOP (i.e., corticosteroids) 244,245		
	Infectious endophthalmitis		
	Noninfectious inflammatory reactions		
	Possible systemic effect from intravitreal medication ²¹¹		
	Increased retinal traction		
	• Cataract ^{244,245}		

DME = diabetic macular edema; IOP = intraocular pressure; NPDR = nonproliferative diabetic retinopathy; PDR = proliferative diabetic retinopathy

Treatment and Prevention of Proliferative Diabetic Retinopathy

Normal or Minimal NPDR

The patient with a normal retinal examination or with rare microaneurysms should be re-examined annually,³⁴ because within 1 year 5% to 10% of patients without retinopathy will develop diabetic retinopathy. Existing retinopathy will worsen by a similar percentage.^{65,66,81}

Mild to Moderate NPDR without Macular Edema

Patients with retinal microaneurysms and occasional blot hemorrhages or hard exudates should be re-examined within 6 to 12 months, because disease progression is common.⁶⁵ In The Wisconsin Epidemiologic Study of Diabetic Retinopathy, the natural history of type 1 diabetic patients suggests that approximately 16% of patients with mild retinopathy (hard exudates and microaneurysms only) will progress to proliferative stages within 4 years.⁶⁵

1	For patients with mild NPDR, the 4-year incidence of either CSME or macular edema
2	that is not clinically significant is approximately 12%. For moderate NPDR, the risk
3	increases to 23% for patients with either type 1 or 2 diabetes. ¹³² For patients undergoing
4	anti-VEGF treatment, the clinically observed level of retinopathy may become
5	consistent with mild to moderate retinopathy. Especially when anti-VEGF treatment is
6	stopped because edema is well controlled, and the patient had previously been noted to
7	have a higher level of retinopathy, a closer follow-up of retinopathy may be necessary,
8	as the progression of disease may be higher in those patients.
9	Severe NPDR and Non-High-Risk PDR
10	The DRS demonstrated that eyes with severe NPDR and non-high-risk PDR had a
11	reduced risk of severe vision loss with PRP but suggested that a deferral of
12	photocoagulation is reasonable until high-risk characteristics develop. 246 The ETDRS
13	showed that although deferral of PRP until high-risk characteristics develop, especially
14	in eyes with DME, early PRP could be considered, especially for eyes with very severe
15	NPDR and non-high risk PDR, who have close to a 50% risk of progressing to high-risk
16	PDR within 1 year. Very severe NPDR is defined as an eye with 2 or more of the 4-2-1
17	characteristics summarized in Table 1.
18	Severe NPDR and non-high-risk PDR are discussed together because ETDRS data
19	showed that they have a similar clinical course and subsequent recommendations for
20	treatment are similar. The study demonstrated that the risk of progression to
21	proliferative disease was high, with 45% of patients with very severe NPDR, 46% of
22	patients with moderate PDR, 22% of patients with mild PDR, and 15% of patients with
23	severe NPDR developing PDR within 1 year. 132 Therefore, these patients should be re-
24	examined within 2 to 4 months. 1,132 Refer to Table 1 for the definition of severe NPDR
25	and very severe NPDR.
26	High-Risk PDR
27	The presence of any three of the following four features characterizes DRS high-risk
28	PDR: ^{85,86}
29	 Neovascularization (at any location)
30	 Neovascularization at or near the optic disc (see standard photograph 10A in
31	Glossary)
32	◆ At least moderate neovascularization, defined as:
33	o New vessels within 1 disc diameter of the optic nerve head that are
34	larger than one-quarter to one-third disc area in size
35	o New vessels elsewhere that are at least one-half disc area in size

1	 Vitreous or preretinal hemorrhage
2	The DRS showed that the risk of severe visual loss among patients with high-risk PDR is
3	high and is reduced substantially by PRP. (See Glossary) Most patients with high-risk PDR
4	should receive PRP expeditiously, as it usually induces regression of retinal
5	neovascularization. 85,247
6	The DRCR.net study Protocol S that examined patients with PDR primarily has
7	demonstrated that a series of anti-VEGF injections (ranibizumab was used in this protocol)
8	is noninferior to PRP at 2 years. 95 The patients undergoing anti-VEGF injections were less
9	likely to have worsening macular edema or to have peripheral vision loss as measured by
10	automated visual field testing compared with the PRP group. However, when patients with
11	PDR undergoing anti-VEGF injections are lost to follow up, their visual and anatomic
12	outcomes are inferior to those who received PRP. ²⁴⁸ Therefore, the decision to choose anti-
13	VEGF over PRP must be made cautiously with a careful consideration of patient-related
14	factors. The anti-VEGF injection alone could be considered for patients with reliable
15	follow-up.
16	Additional PRP or anti-VEGF therapy should be considered in situations involving the
17	following:
18	• Failure of the neovascularization to regress
19	• Increasing neovascularization of the retina or iris
20	 New vitreous hemorrhage
21	 New areas of neovascularization
22	In cases of involutional PDR, vitreous hemorrhage may occur due to vitreous traction on
23	involuted neovascularization. These eyes may not necessarily require additional PRP,
24	especially in the absence of venous dilation. Pars plana vitrectomy should be considered for
25	patients with PDR and vitreous opacities interfering with vision or treatment, severe
26	fibrovascular proliferation, and traction retinal detachment that is threatening or involving
27	the macula. 90,249-251 The value of early pars plana vitrectomy increases with the increasing
28	severity of neovascularization. (See Appendix 3.) The role of anti-VEGFs in these later
29	stages of proliferative retinopathy is under investigation.
30	Laser Treatment
31	Panretinal photocoagulation has been demonstrated to reduce the risk of severe vision
32	loss in PDR and severe NPDR. The ETDRS protocol for full PRP included 1200 to1600
33	spots of moderate burns of 0.1 second duration that is a one-half burn width apart and at
34	least 2 disc diameters from the fovea out to the equator. 132 If laser surgery is elected,
35	full PRP is a proven treatment approach. Partial or limited PRP treatment is not

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recommended. 85 Fluorescein angiography does not usually need to be performed to 1 2 apply the PRP effectively. 3 Additional analyses of visual outcome in ETDRS patients with severe NPDR to nonhigh-risk PDR suggest that the recommendation to consider PRP before the 4 5 development of high-risk PDR is particularly appropriate for patients with type 2 diabetes. The risk of severe vision loss or vitrectomy was reduced by 50% (2.5% vs. 6 7 5%; P=0.0001) in patients with type 2 diabetes who were treated early when compared with deferral PRP until high-risk PDR developed. For patients with type 1 diabetes, the 8 9 timing of the PRP depends on the patient's compliance with follow-up and the status and response to treatment of the fellow eye. For both patients with type 1 and type 2 10 11 diabetes, impending or recent cataract surgery or pregnancy may increase the risk of progression and may influence the decision to perform PRP. 12 The goal of PRP is to reduce the risk of vision loss. Preoperatively, the ophthalmologist 13 14 should assess for the presence of macular edema, discuss side effects of treatment and risks of visual loss with the patient, and obtain informed consent. ^{213,214} This technique 15 has been fully described^{85,213} and the results are summarized in Appendix 3. 16 17 The results of clinical trials suggest that PRP is to be performed on eyes with CSME; focal photocoagulation and/or anti-VEGF therapy prior to or concomitant with PRP 18 19 should be performed when there is evidence that PRPmay exacerbate macular edema 20 and increase the rate of moderate visual loss (i.e., doubling of the visual angle) compared with untreated control eyes. 132 (See Glossary.) However, PRP should not be 21 22 delayed when PDR is at the high-risk stage (i.e., if NVD is extensive or 23 vitreous/preretinal hemorrhage has occurred recently). In such cases, anti-VEGF 24 therapy and PRP may be performed concomitantly. For patients who have concurrent 25 CI-DME, combined anti-VEGF therapy and PRP at the first treatment session should be 26 considered (Table 6). 27 Anti-Vascular Endothelial Growth Factor Therapy The DRCR.net Protocol S was a randomized controlled trial that compared PRP with 28 ranibizumab in patients primarily with PDR with and without DME, and approximately 29 11% had mild to severe NPDR. ²⁵² The patients received ranibizumab monthly for 6 30 31 months, unless complete neovascular regression was obtained at 4 months, followed by treatment as needed based on a specific protocol for evaluating the presence and/or 32 activity of retinal neovascularization. ²⁵³ The study concluded that ranibizumab resulted 33 in not more than 5 letters worse visual acuity than PRP at 2 years. The ranibizumab 34 35 group seemed to have better average visual acuity, less visual field loss, fewer 36 vitrectomies, and fewer new developments of DME-related vision loss. However, the 37 ranibizumab group had a higher number of treatments and visits than the group

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receiving PRP. ²⁵⁴ Patient compliance is a major concern for management of patients 1 2 with PDR. An additional study demonstrated that aflibercept is similar to PRP for 3 treatment of PDR and may have superior visual acuity outcomes in eyes without CI-DME at 1 year. 255 A follow-up of patients from the RIDE and RISE studies found that 4 more patients receiving ranibizumab treatment had a 2-step or 3-step or more 5 6 improvement in diabetic retinopathy compared with the sham crossover group at a median level of moderate NPDR. (See Glossary.) ²⁵⁶ It is not yet known whether anti-7 8 VEGF treatment would benefit patients with severe NPDR for whom PRP is 9 considered. A key clinical consideration for determining the use of anti-VEGF versus PRP is the 10 11 reliability of patient follow-up. A recent analysis found that over a 4-year period, 22% 12 of patients with PDR under treatment with anti-VEGF injections were lost to followup. 248 Further studies are required to determine the long-term implications of using anti-13 VEGF agents alone. 188 Recent reports raise into question the implications of using anti-14 VEGF therapy in PDR patients and the severe consequences of such a decision and a 15 higher rate of NVG.²⁵⁷ The clinical indications for use in patients with moderate or mild 16 NPDR are unknown and also depend on other factors such as systemic blood glucose 17 18 control and compliance with follow-up examinations. Clinical judgment is important for 19 guiding therapy. 20 Although some studies have reported evidence for the beneficial use of anti-VEGF for treating vitreous hemorrhage, ²⁵⁸ a DRCR trial found no difference between anti-VEGF 21 and intravitreal saline injection. ²⁵⁹ Following anti-VEGF injection, cases with severe 22 PDR may develop traction or pre-existing traction may progress. ²⁶⁰ However, Protocol 23 S showed that there was no statistically significant difference between rates of tractional 24 retinal detachment in PRP compared with anti-VEGF.²⁵⁴ 25 26 Several anti-VEGF studies have also found a significant difference in the rates of 2-step 27 and 3-step improvements in severity of diabetic retinopathy between eyes receiving anti-VEGF and control eyes. The DRCR.net has shown that in the short-term, anti-VEGF 28 treatment lowers the risk of progression to PDR. 261,262 In the DRCR.net Protocol T year 29 1, of the 423 NPDR eyes, 44 of 141 (31.2%) treated with aflibercept, 29 of 131 (22.1%) 30 31 with bevacizumab, and 57 of 151 (37.7%) with ranibizumab had improvement of 32 diabetic retinopathy severity. The adjusted difference for aflibercept versus 33 bevacizumab was 11.7% (95% CI, 2.9%–20.6%; P=0.004), for ranibizumab versus 34 bevacizumab was 8.9% (95% CI, 1.7%-16.1%; P=0.01), and for aflibercept versus 35 ranibizumab was 2.9% (95% CI, -5.7% to 11.4%; P=0.51). At year 2, despite fewer 36 injections of an anti-VEGF drug given to these eyes, 25% of the aflibercept group, 22% 37 of the bevacizumab group, and 21% of the ranibizumab group showed diabetic

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1	retinopathy improvement. Rates of worsening retinopathy were uniformly low for all
2	three drugs.
3	In the RIDE and RISE trials, approximately 11% of ranibizumab-treated eyes showed
4	progression of diabetic retinopathy compared with 34% of sham-treated eyes at 2
5	years. ²⁶³ The percentage of eyes with worsening diabetic retinopathy by 2 steps or more
6	(Table 5) was significantly greater for the sham-treated eyes than the ranibizumab-
7	treated eyes. Post hoc analysis of RIDE and RISE trials revealed that ranibizumab
8	treatment improved diabetic retinopathy severity in all subsets. The greatest
9	improvement occurred in eyes with a baseline of moderately severe to severe NPDR. ²⁶⁴
10	In the VIVID and VISTA trials, eyes treated with aflibercept (every 4 or 8 weeks) for
11	DME had a significantly higher chance of a 2-step (Table 5) improvement in the
12	Diabetic Retinopathy Severity Scale score compared with eyes treated with laser
13	control. (See Glossary). In the VIVID trial, the improvement was 29.3% and 32.6%,
14	respectively, versus 8.2% (P <0.0004 for every 4 weeks and P <0.0001 for every 8
15	weeks), and in the VISTA trial, the improvement was 37.0% and 37.1%, respectively,
16	versus 15.6% (<i>P</i> <0.0001 for both aflibercept vs control comparisons). ²⁶⁵
17	Other Treatments
18	Vitrectomy surgery typically is reserved for cases with persistent disease activity despite
19	medical management with anti-VEGF or PRP, or if disease is unamenable to medical
20	management alone. Typical indications for vitrectomy include:
21	 Nonclearing vitreous hemorrhage
22	 Tractional retinal detachment threatening the macula
23	 Combined rhegmatogenous and tractional retinal detachment
24	Dense pre-macular subhyaloid hemorrhage
25	The DRVS demonstrated improved outcomes if vitrectomy for vitreous hemorrhage is
26	done within 1 to 6 months of onset compared with later vitrectomy at 1 year. 266,267 Vitreous
27	hemorrhage should be followed with serial ultrasounds to evaluate for possible retinal tear,
28	tractional retinal detachment that threatens the macula, or rhegmatogenous retinal
29	detachment. Recent advances, including endolaser and small-gauge instruments have
30	improved outcomes and decreased adverse events. ²⁶⁸ One meta-analysis suggested that pre-
31	operative anti-VEGF treatment reduces the duration of surgery, the number of retinal
32	breaks, and the amount of intra-operative bleeding. 269 (I+, Moderate quality, Strong
33	recommendation) A Cochrane systematic review suggested pre-operative or intra-operative
34	bevacizumab may reduce the incidence of post-operative vitreous hemorrhage. $^{270,271}(I+,$
35	Moderate quality, Strong recommendation)

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ı	Follow-Op Evaluation
2	The follow-up evaluation includes a history and examination.
3	History
4	A follow-up history should include changes in the following:
5	◆ Symptoms
6	◆ Systemic status (pregnancy, blood pressure, serum lipids, renal status)
7	◆ Glycemic status (HbA _{1c}) ^{54,82,130}
8	◆ Other treatments such as dialysis and fenofibrates
9	Examination
10	A follow-up examination should include the following elements:
11	◆ Visual acuity ¹³²
12	◆ Slit-lamp biomicroscopy with iris examination ²⁷²
13	◆ IOP
14	• Gonioscopy (preferably before dilation when iris neovascularization is suspected or if IOP
15	is elevated) ²⁷²
16	◆ Stereoscopic examination of the posterior pole after dilation of the pupils ⁸⁹
17	◆ OCT imaging, when appropriate
18	 Peripheral retina and vitreous examination, when indicated⁸⁸
19	Recommended intervals for follow-up are given in Table 5.
20	PROVIDER AND SETTING
 21	Although the ophthalmologist will perform most of the examination and all surgery, certain aspects of
22	examination may be performed by trained individuals under the ophthalmologist's supervision and
23	review. Because of the complexities of the diagnosis and treatment for diabetic retinopathy, the
24	ophthalmologist caring for patients with this condition should be familiar with the specific
25	recommendations of relevant clinical trials. 45,94,131,132,151,195,203,204,214,246,273-279
26	COUNSELING AND REFERRAL
27	The ophthalmologist should refer patients with diabetes to a primary care physician for appropriate
28	management of their systemic condition and should communicate examination results to the physician
29	managing the patient's ongoing diabetes care. An Eye MD Examination Report Form is available
30	from the American Academy of Ophthalmology. ²⁸⁰
31	Some patients with diabetic retinopathy will lose substantial vision despite being treated according to
32	the recommendations in this document. ¹ Patients whose conditions fail to respond to surgery and
33	those for whom further treatment is unavailable should be provided with professional support and
34	offered referral for counseling, vision rehabilitation, or social services as appropriate. 281 Vision

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rehabilitation improves functional ability, ²⁸² and so patients with functionally limiting postoperative 1 visual impairment should be referred for vision rehabilitation and social services.²⁸¹ More information 2 3 on vision rehabilitation, including materials for patients, is available at www.aao.org/smart-sight-low-4 vision. 5 SOCIOECONOMIC CONSIDERATIONS 6 In the era before anti-VEGF treatment, an analysis of medical and economic effects of diabetic 7 retinopathy control predicted that over their lifetime, 72% of patients with type 1 diabetes would eventually develop PDR requiring PRP and that 42% would develop macular edema. 283 If treatments 8 9 are delivered as recommended in the clinical trials, the model predicted a cost of \$966 per person-year 10 of vision saved for patients with PDR and \$1,120 per person-year of central visual acuity saved for 11 patients with macular edema. These costs are less than the cost of a year of Social Security disability payments for patients disabled by vision loss. Therefore, treatment yields a substantial savings 12 compared with the direct cost to society of untreated PDR in a type 1 diabetic patient. ²⁸⁴ The indirect 13 14 costs in lost productivity and human suffering are even greater. 15 Another analysis estimated that screening and treatment of eye disease in patients with diabetes costs, on average, \$3,190 per quality-adjusted life year (QALY) saved. 285 For patients with type 1 diabetes, 16 it costs \$1996 per QALY saved; for patients with type 2 diabetes who use insulin, it costs \$2,933 per 17 18 QALY saved; and for patients with type 2 diabetes who do not use insulin, it costs \$3,530 per QALY 19 saved. Insofar as patients with type 2 diabetes not using insulin represent the largest subset of the 20 patient population, most of the economic benefits of screening and treatment are realized among these 21 patients. 22 A 2013 cost-effectiveness analysis of various interventions for DME evaluated the cost-effectiveness 23 of anti-VEGF therapies for CSME. Compared with laser alone, the incremental cost-effectiveness of 24 laser plus bevacizumab is \$11,138 per QALY and thus seems to confer the greatest value among the

A 2013 cost-effectiveness analysis of various interventions for DME evaluated the cost-effectiveness of anti-VEGF therapies for CSME. Compared with laser alone, the incremental cost-effectiveness of laser plus bevacizumab is \$11,138 per QALY and thus seems to confer the greatest value among the various treatment options for CSME. ²⁸⁶ By comparison, the cost-utility of laser photocoagulation surgery for DME is \$3,101 per QALY, ²⁸⁷ whereas laser photocoagulation surgery for extrafoveal choroidal neovascularization is \$23,640 per QALY. ²⁸⁸ Finally, a cost-utility analysis of detection and treatment of diabetic retinopathy in patients with type 1 and type 2 diabetes demonstrates that provision of recommended ophthalmic care would reduce the prevalence of blindness by 52% and that the direct costs of care would be less than the losses in productivity and the costs of facilities provided for disability. ²⁸⁹

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APPENDIX 1. QUALITY OF OPHTHALMIC CARE CORE CRITERIA

Providing quality care
is the physician's foremost ethical obligation, and is
the basis of public trust in physicians.

AMA Board of Trustees, 1986

Quality ophthalmic care is provided in a manner and with the skill that is consistent with the best interests of the patient. The discussion that follows characterizes the core elements of such care.

The ophthalmologist is first and foremost a physician. As such, the ophthalmologist demonstrates compassion and concern for the individual, and utilizes the science and art of medicine to help alleviate patient fear and suffering. The ophthalmologist strives to develop and maintain clinical skills at the highest feasible level, consistent with the needs of patients, through training and continuing education. The ophthalmologist evaluates those skills and medical knowledge in relation to the needs of the patient and responds accordingly. The ophthalmologist also ensures that needy patients receive necessary care directly or through referral to appropriate persons and facilities that will provide such care, and he or she supports activities that promote health and prevent disease and disability.

The ophthalmologist recognizes that disease places patients in a disadvantaged, dependent state. The ophthalmologist respects the dignity and integrity of his or her patients, and does not exploit their vulnerability.

Quality ophthalmic care has the following optimal attributes, among others.

- ◆ The essence of quality care is a meaningful partnership relationship between patient and physician. The ophthalmologist strives to communicate effectively with his or her patients, listening carefully to their needs and concerns. In turn, the ophthalmologist educates his or her patients about the nature and prognosis of their condition and about proper and appropriate therapeutic modalities. This is to ensure their meaningful participation (appropriate to their unique physical, intellectual, and emotional state) in decisions affecting their management and care, to improve their motivation and compliance with the agreed plan of treatment, and to help alleviate their fears and concerns.
- The ophthalmologist uses his or her best judgment in choosing and timing appropriate diagnostic and therapeutic modalities as well as the frequency of evaluation and follow-up, with due regard to the urgency and nature of the patient's condition and unique needs and desires.
- The ophthalmologist carries out only those procedures for which he or she is adequately trained, experienced, and competent, or, when necessary, is assisted by someone who is, depending on the urgency of the problem and availability and accessibility of alternative providers.
- Patients are assured access to, and continuity of, needed and appropriate ophthalmic care, which can be described as follows.
 - The ophthalmologist treats patients with due regard to timeliness, appropriateness, and his or her own ability to provide such care.
 - The operating ophthalmologist makes adequate provision for appropriate pre- and postoperative patient care.
 - When the ophthalmologist is unavailable for his or her patient, he or she provides appropriate alternate
 ophthalmic care, with adequate mechanisms for informing patients of the existence of such care and
 procedures for obtaining it.
 - The ophthalmologist refers patients to other ophthalmologists and eye care providers based on the timeliness and appropriateness of such referral, the patient's needs, the competence and qualifications of the person to whom the referral is made, and access and availability.

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- The ophthalmologist seeks appropriate consultation with due regard to the nature of the ocular or other medical or surgical problem. Consultants are suggested for their skill, competence, and accessibility. They receive as complete and accurate an accounting of the problem as necessary to provide efficient and effective advice or intervention, and in turn they respond in an adequate and timely manner. The ophthalmologist maintains complete and accurate medical records.
- On appropriate request, the ophthalmologist provides a full and accurate rendering of the patient's records in his or her possession.
- The ophthalmologist reviews the results of consultations and laboratory tests in a timely and effective manner and takes appropriate actions.
- The ophthalmologist and those who assist in providing care identify themselves and their profession.
- For patients whose conditions fail to respond to treatment and for whom further treatment is unavailable, the ophthalmologist provides proper professional support, counseling, rehabilitative and social services, and referral as appropriate and accessible.
- Prior to therapeutic or invasive diagnostic procedures, the ophthalmologist becomes appropriately conversant with the patient's condition by collecting pertinent historical information and performing relevant preoperative examinations. Additionally, he or she enables the patient to reach a fully informed decision by providing an accurate and truthful explanation of the diagnosis; the nature, purpose, risks, benefits, and probability of success of the proposed treatment and of alternative treatment; and the risks and benefits of no treatment.
- The ophthalmologist adopts new technology (e.g., drugs, devices, surgical techniques) in judicious fashion, appropriate to the cost and potential benefit relative to existing alternatives and to its demonstrated safety and efficacy.
- The ophthalmologist enhances the quality of care he or she provides by periodically reviewing and assessing his or her personal performance in relation to established standards, and by revising or altering his or her practices and techniques appropriately.
- ◆ The ophthalmologist improves ophthalmic care by communicating to colleagues, through appropriate professional channels, knowledge gained through clinical research and practice. This includes alerting colleagues of instances of unusual or unexpected rates of complications and problems related to new drugs, devices, or procedures.
- ◆ The ophthalmologist provides care in suitably staffed and equipped facilities adequate to deal with potential ocular and systemic complications requiring immediate attention.
- The ophthalmologist also provides ophthalmic care in a manner that is cost effective without unacceptably compromising accepted standards of quality.

Reviewed by: Council Approved by: Board of Trustees

October 12, 1988

2nd Printing: January 1991 3rd Printing: August 2001 4th Printing: July 2005

APPENDIX 2. INTERNATIONAL STATISTICAL CLASSIFICATION OF DISEASES AND RELATED HEALTH PROBLEMS (ICD) CODES

Diabetic retinopathy, which includes entities with the following ICD-9 and ICD-10 classifications (see Glossary):

	ICD-9 CM	ICD-10 CM
betic retinopathy:		
Background	362.01	 E10.311 Type 1 with macular edema E10.319 Type 1 without macular edema E11.311 Type 2 with macular edema
		 E11.319 Type 2 without macular edema E13.311 other specified types of diabetes mellitus with unspecified diabetic retinopathy with macular edema E13.319 other specified types of diabetes mellitus with unspecified diabetic retinopathy without macular edema
Proliferative	362.02	 E10.351 Type 1 with macular edema E10.359 Type 1 without macular edema E11.351 Type 2 with macular edema E11.359 Type 2 without macular edema E13.351 other specified diabetes mellitus with proliferative diabetic retinopathy with macular edema E13.359 other specified diabetes mellitus with proliferative diabetic retinopathy without macular edema
Nonproliferative, NOS Nonproliferative, mild	362.03 362.04	 E10.321 Type 1 with macular edema E10.329 Type 1 without macular edema E11.321 Type 2 with macular edema E11.329 Type 2 without macular edema E13.321 other specified types of diabetes mellitus with mild nonproliferative diabetic retinopathy with macular edema E13.329 other specified types of diabetes mellitus with mild nonproliferative diabetic retinopathy without macular edema
Nonproliferative, moderate	362.05	 E10.331 Type 1 with macular edema E10.339 Type 1 without macular edema E11.331 Type 2 with macular edema E11.339 Type 2 without macular edema E13.331 other specified types of diabetes mellitus with moderate nonproliferative diabetic retinopathy with macular edema E13.339 other specified types of diabetes mellitus with moderate nonproliferative diabetic retinopathy without macular edema

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	ICD-9 CM	ICD-10 CM
Diabetic retinopathy (continued):		
Nonproliferative, severe	362.06	E10.341 Type 1 with macular edema
		E10.349 Type 1 without macular edema
		E11.341 Type 2 with macular edema
		E11.349 Type 2 without macular edema
		 E13.341 other specified types of diabetes mellitus with severe nonproliferative diabetic retinopathy with macular edema
		 E13.349 other specified types of diabetes mellitus with severe nonproliferative diabetic retinopathy without macular edema
Diabetic macular edema	362.07	E10.321 Type 1 mild nonproliferative diabetic retinopathy
		E10.331 Type 1 moderate nonproliferative diabetic retinopathy
		E10.341 Type 1 severe nonproliferative diabetic retinopathy
		 E10.351 Type 1 proliferative diabetic retinopathy
		E11.321 Type 2 mild nonproliferative diabetic retinopathy
		E11.331 Type 2 moderate nonproliferative diabetic retinopathy
		E11.341 Type 2 severe nonproliferative diabetic retinopathy
		• E11.351 Type 2 proliferative diabetic retinopathy
		 E13.321 other specified diabetes mellitus with mild nonproliferative diabetic retinopathy
		 E13.331 other specified diabetes mellitus with moderate nonproliferative diabetic retinopathy

ICD = International Classification of Diseases; CM = Clinical Modification used in the United States; NOS = not otherwise specified Additional information:

- Certain ICD-10 CM categories have applicable 6th characters. In the diabetic retinopathy series, indicate "with or without" macular edema. Laterality indicators are not required in this series.
 - 1 = with macular edema
 - 9 = without macular edema
- For bilateral sites, the final character of the codes in the ICD-10 CM indicates laterality. If no bilateral code is provided and the condition is bilateral, separate codes for both the left and right side should be assigned. Unspecified codes should be used only when there is no other code option available.

APPENDIX 3. MAJOR STUDY RESULTS

DIABETIC RETINOPATHY STUDY (1972-1979)

The Diabetic Retinopathy Study (DRS) was designed to investigate the value of laser photocoagulation surgery for patients with severe nonproliferative diabetic retinopathy (NPDR) and proliferative diabetic retinopathy (PDR). 85 The results are shown in Table A4-1.

TABLE A4-1 VISUAL OUTCOME FOR LASER PHOTOCOAGULATION FROM THE DIABETIC RETINOPATHY STUDY

Baseline Severity of Retinopathy	Duration of Follow-up (Years)	Control Patients (% with Severe Visual Loss)	Treated Patients (% with Severe Visual Loss)
Severe nonproliferative	2	3	3
	4	13	4
Mild proliferative	2	7	3
	4	21	7
High-risk proliferative	2	26	11
	4	44	20

NOTE: Severe visual loss was defined as worse than 5/200 visual acuity at two or more consecutive completed visits (scheduled at 4-month intervals).

WISCONSIN EPIDEMIOLOGIC STUDY OF DIABETIC RETINOPATHY (1979)

The Wisconsin Epidemiologic Study of Diabetic Retinopathy (WESDR) began in 1979. It was initially funded by the National Eye Institute, which is part of the National Institutes of Health. The purpose of the WESDR is to describe the frequency and incidence of complications associated with diabetes (eye complications such as diabetic retinopathy and visual loss, kidney complications such as diabetic nephropathy, and amputations), and to identify risk factors (such as poor glycemic control, smoking, and high blood pressure) that may contribute to the development of these complications.⁹¹

EARLY TREATMENT DIABETIC RETINOPATHY STUDY (1985-1990)

The Early Treatment Diabetic Retinopathy Study (ETDRS) investigated the value of photocoagulation surgery for patients with NPDR or PDR without high-risk characteristics. ^{89,132} The results for eyes with macular edema are shown in Table A4-2. Visual loss was defined as at least doubling of the visual angle (e.g., 20/20 to 20/40, or 20/50 to 20/100).

TABLE A4-2 VISUAL OUTCOME FOR LASER PHOTOCOAGULATION TREATMENT FROM THE EARLY TREATMENT DIABETIC RETINOPATHY STUDY

Extent of Macular Edema	Duration of Follow-up (Years)	Control Patients (% with Visual Loss)	Treated Patients (% with Visual Loss)
CSME	1	8	1
(center of macula not involved)	2	16	6
	3	22	13
CSME	1	13	8
(center of macula involved)	2	24	9
	3	33	14

CSME = clinically significant macular edema

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NOTE: Visual loss was defined as at least doubling of the visual angle.

Results of Early Scatter Laser Treatment in ETDRS

In eyes with NPDR or non-high-risk PDR, early panretinal photocoagulation (PRP) was compared with deferral of photocoagulation, and although there was a beneficial treatment effect, the outlook for maintaining vision was good in both groups. The 5-year rates of severe visual loss or vitrectomy ranged from 2% to 6% in eyes assigned to early photocoagulation and from 4% to 10% in eyes assigned to deferral. Early PRP was associated with side effects (small decreases in visual acuity and visual field) in some eyes, and the ETDRS concluded that deferral of photocoagulation was preferable at least until retinopathy was approaching the highrisk stage. Eyes approaching that stage had a 50% risk of reaching it within 12 to 18 months. Eyes in this category had very severe NPDR or non-high-risk PDR characterized by NVD less than one-quarter to one-third disc area and/or NVE, without vitreous or preretinal hemorrhage. Recent additional analyses of visual outcome in ETDRS patients with severe NPDR to nonhigh-risk PDR suggest that the recommendation to consider PRP before the development of high-risk PDR is particularly appropriate for patients with type 2 diabetes. The risk of severe vision loss or vitrectomy was reduced by 50% in patients who were treated early compared with those who deferred treatment until high-risk PDR developed. For patients with type 1 diabetes, the timing of the PRP will depend on the compliance with follow-up, status and response to treatment of the fellow eye, impending cataract surgery, and/or pregnancy status.

DIABETIC RETINOPATHY VITRECTOMY STUDY (1983-1987)

The Diabetic Retinopathy Vitrectomy Study (DRVS) investigated the role of vitrectomy in managing eyes with very severe PDR. 90,249-251 The benefit of early vitrectomy for severe vitreous hemorrhage (defined as hemorrhage obscuring the macula or major retinal vessels for 3 disc diameters from the macular center) was seen in type 1 patients, but no such advantage was found in type 2 patients, who did not benefit from earlier surgery. Early vitrectomy was beneficial among patients with visual acuity of 5/200 or worse and severe vitreous hemorrhage with reduced vision for at least 1 month and

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research may be supported as well.

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without previous treatment or complications such as retinal detachment or neovascularization of the 2 iris. Overall, at 2 years after surgery, 25% of the early vitrectomy group and 15% of the deferral group 3 had visual acuity of 20/40 or better. The advantage was most pronounced in patients with type 1 4 diabetes (36% vs. 12% for early vitrectomy versus deferral of vitrectomy, respectively) and was not 5 statistically significant for patients with type 2 diabetes. 6 The DRVS showed that early vitrectomy was beneficial for patients with visual acuity of 20/400 or 7 better plus 1 of the following: (1) severe neovascularization and fibrous proliferation; (2) fibrous 8 proliferation and moderate vitreous hemorrhage; or (3) moderate neovascularization, severe fibrous 9 proliferation, and moderate vitreous hemorrhage. Among such patients, 44% with early vitrectomy and 28% in the observation group had visual acuity of 20/40 or better at 4 years of follow-up. 10 11 The results of the DRVS should be interpreted in light of subsequent advances in vitreoretinal 12 surgery, such as the introduction of small-gauge vitrectomy technology, endoscopic and indirect 13 ophthalmoscopic laser photocoagulation surgery, and advanced instrumentation. The use of long-14 acting intraocular gases such as sulfur hexafluoride (SF6) and perfluoropropane (C3F8), the use of 15 viscodissection, and the use of heavier-than-water liquids such as perfluoro-octane are advances in 16 vitreoretinal surgery that developed after the DRVS. Thus, the results may actually be better than those reported in the DRVS. ^{239,290} Early vitrectomy should be considered for selected patients with 17 18 type 2 diabetes, particularly those in whom severe vitreous hemorrhage prohibits laser therapy 19 photocoagulation of active neovascularization. 20 FENOFIBRATE INTERVENTION AND EVENT LOWERING IN DIABETES (FIELD) STUDY (2005) The FIELD study was a randomized controlled trial that evaluated long-term fenofibrate therapy for 22 the reduction of cardiovascular events in 9795 patients with type 2 diabetes mellitus. Fenofibrate did 23 not significantly reduce the risk of the primary outcome of coronary events. It did reduce total 24 cardiovascular events, mainly due to fewer nonfatal myocardial infarctions and revascularizations. 25 The higher rate of starting statin therapy in patients allocated to receive placebo might have masked a 26 moderately larger treatment benefit. DIABETIC RETINOPATHY CLINICAL RESEARCH NETWORK (DRCR.NET) (2002-PRESENT) 27 28 The Diabetic Retinopathy Clinical Research Network (DRCR.net) is a collaborative network 29 dedicated to facilitating multicenter clinical research of diabetic retinopathy, diabetic macular edema 30 (DME), and associated conditions. The DRCR.net supports the identification, design, and implementation of multicenter clinical research initiatives focused on diabetes-induced retinal

disorders. Principal emphasis is placed on clinical trials, but epidemiologic outcomes and other

The DRCR.net was formed in 2002 and currently includes over 115 participating sites (offices) with over 400 physicians throughout the United States. The DRCR net is funded by the National Eye

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1	Institute (NEI), which is a part of the National Institutes of Health, the branch of government that
2	funds medical research.
3	The DRCR.net has completed multiple clinical trials evaluating the role of anti-vascular endothelial
4	growth factor (anti-VEGF), laser treatment, and corticosteroids in DME, anti-VEGF efficacy in PDR
5	and vitreous hemorrhage, and even diabetes education effectiveness on DME. Most importantly,
6	DRCR.net Protocol T (Comparative Effectiveness Study of Intravitreal Aflibercept, Bevacizumab,
7	and Ranibizumab for Diabetic Macular Edema) compared the effectiveness of ranibizumab,
8	aflibercept, and bevacizumab in the treatment of DME. 96 This study found that all three drugs resulted
9	in improvement in visual acuity at 1 year with similar safety profiles. However, the mean visual
10	acuity using aflibercept was better for eyes with visual acuity of 20/50 or worse at 1 year. At 2 years,
11	the mean visual acuity in the aflibercept was no longer superior to ranibizumab, although it remained
12	superior to bevacizumab.
13	Another important treatment comparison was done in Protocol I: Intravitreal Ranibizumab for
14	Diabetic Macular Edema with Prompt vs. Deferred Laser Treatment. Three-year results were reported
15	in 2012. The study utilized ranibizumab monthly until improvement no longer occurred (with
16	resumption if the condition worsened) and random assignment to focal/grid laser treatment promptly
17	or deferred (≥24 weeks). The 3-year results suggest that focal/grid laser treatment at the initiation of
18	intravitreal ranibizumab is no better, and possibly worse for vision outcomes, than deferring laser
19	treatment for ≥24 weeks in eyes with DME involving the fovea and with vision impairment. ⁹⁴
20	A previous publication from Protocol I results confirmed the 1-year results that intravitreal
21	ranibizumab with prompt or deferred laser was more effective through 2 years compared with prompt
22	laser alone for the treatment of DME involving the central macula. Laser was not associated with
23	endophthalmitis, the rare but potentially devastating complication of injecting ranibizumab. In
24	pseudophakic eyes, results with intravitreal triamcinolone plus prompt laser appeared similar to
25	results in the ranibizumab arms and were more effective than laser alone, but the triamcinolone plus
26	prompt laser arm had an increased risk of IOP elevation. 189
27	Most recently, the DRCR.net Protocol S evaluated the effects of anti-VEGF versus PRP. ²⁹¹ In a
28	randomized, multicenter, noninferiority trial, 394 eyes of 305 adults with PDR were randomized to
29	receive either PRP or anti-VEGF therapy. Ranibizumab 0.5 mg was given at baseline and as
30	frequently as every 4 weeks based on a structured retreatment design. Eyes in both groups were
31	allowed ranibizumab if DME was present. In eyes with PDR, ranibizumab was not inferior to PRP in
32	terms of visual acuity outcomes at 2 years. Mean visual acuity improvement was +2.8 letters for
33	ranibizumab and +0.2 letters for PRP-treated eyes (P<0.001). When the totality of the visual acuity
34	data was included (area under the curve analysis), eyes given ranibizumab had overall better visual
35	acuity outcomes than eyes treated with PRP. There was less mean reduction in peripheral visual field
36	(-23 dB vs422 dB; P<0.001) with ranibizumab than with PRP treatment. The rates for vitrectomy
37	were more frequent (15% vs. 4%; P<0.001), and DME development was more frequent (28% vs. 9%;

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1	P<0.001) in the PRP group than in the ranibizumab group. Moreover, rates of active
2	neovascularization or rates of regression of neovascularization were similar between the two groups.
3	STUDY OF RANIBIZUMAB INJECTION IN SUBJECTS WITH CLINICALLY SIGNIFICANT DIABETIC
4	MACULAR EDEMA WITH CENTER INVOLVEMENT SECONDARY TO DIABETES MELLITUS (RISE AND
5	RIDE)
6	The RISE and RIDE trials were parallel phase III multicenter double-masked sham injection-
7	controlled randomized studies conducted at private and university-based retina specialty clinics in the
8	United States and South America. (See Glossary.)
9	The phase III results for both studies were published in 2012. The studies utilized monthly intravitreal
10	ranibizumab (0.5 or 0.3 mg) or sham injections, with macular laser available if needed. The study
11	concluded that ranibizumab rapidly and sustainably improved vision, reduced the risk of further
12	vision loss, and improved macular edema in patients with DME, with low rates of ocular and
13	nonocular side effects. 187
14	RANIBIZUMAB FOR EDEMA OF THE MACULA IN DIABETES (READ-2)
15	READ-2 was a phase II multicenter randomized controlled trial that compared 0.5 mg injections of
16	ranibizumab versus focal laser treatment over 2 years in patients with type 1 or type 2 diabetes
17	mellitus and DME. Patients randomized to one arm of the trial received ranibizumab at baseline, and
18	at 1, 3 and 5 months after baseline; a second arm received laser treatment at baseline and at 3 months
19	(if needed); the third arm received both ranibizumab and laser treatment at baseline and 3 months.
20	From month 5, all subjects received ranibizumab every 2 months and/or maintenance laser treatment
21	every 3 months.
22	At 24 months, differences between the groups were not statistically significant, and all groups
23	experienced improved visual acuity. Patients receiving combined ranibizumab and laser treatment
24	required fewer injections than patients receiving ranibizumab alone. 203
25	BEVACIZUMAB OR LASER THERAPY (BOLT) STUDY
26	BOLT was a phase II 2-year randomized controlled trial that compared intravitreal 1.25 mg
27	bevacizumab injections and focal laser treatment in patients with persistent DME and visual
28	impairment. Bevacizumab patients received an injection every 6 weeks, whereas laser patients were
29	treated every 4 weeks.
30	At 2 years, visual acuity results were substantially better in the bevacizumab group compared with the
31	laser group, with significant differences in the proportions of patients gaining 10 letters and 15 letters.
32	No patients lost 10 or more letters in the bevacizumab group, compared with 14% of patients treated
33	with laser. ²⁰⁴

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DIABETIC MACULAR EDEMA AND VASCULAR ENDOTHELIAL GROWTH FACTOR TRAP-EYE: VIVID

AND VISTA

These studies compared the efficacy and safety of intravitreal aflibercept injection (IAI) with macular laser photocoagulation surgery for DME. Visual improvement were observed in the IAI treatment regimens over laser control at 52, 100 and 148 weeks. Incidence of adverse events was consistent with the known safety profile of IAI.²⁹²

COMPARISON OF DRUGS

The DRCR.net compared the efficacy and safety of bevacizumab, ranibizumab, and aflibercept in a multicentered, randomized clinical trial. 152 At the primary endpoint at 1 year, the mean change in vision was greater for aflibercept than for either of the other two drugs. However, the mean visual acuity changes were dependent on the baseline visual acuity. For eyes with milder visual acuity loss, the drugs resulted in similar visual outcomes (8.0 with aflibercept, 7.5 with bevacizumab, and 8.3 with ranibizumab; P > 0.50 for each pairwise comparison). However, for eyes with 20/50 or worse vision, the mean visual acuity in eyes treated with aflibercept had greater improvements in vision (18.9 with aflibercept, 11.8 with bevacizumab, and 14.2 with ranibizumab; P < 0.001 for aflibercept vs. bevacizumab, P = 0.003 for aflibercept vs. ranibizumab, and P = 0.21 for ranibizumab vs. bevacizumab). There were no significant differences in rates of adverse events. However, at 2 years, the mean visual acuity results were similar for ranibizumab and aflibercept, although aflibercept results remained significantly better than bevacizumab results. There was a slightly higher rate of Antiplatelet Trialists' Collaboration (APTC) events with ranibizumab compared with the other two drugs at the 2-year endpoint. All three drugs improved visual acuity at 2 years, and the number of injections decreased in year 2 compared to year 1.

APPENDIX 4. GLYCEMIC CONTROL

1	The Diabetes Control and Complications Trial (DCCT) was a multicenter, randomized controlled trial
2	designed to study the connection between glycemic control and retinal, renal, and neurologic complications
3	of type 1 diabetes mellitus. Published results from this trial demonstrated that improved blood sugar control
4	can delay the onset and slow the progression of diabetic retinopathy, nephropathy, and neuropathy in type 1
5	patients. 81 The DCCT showed a strong exponential relationship between the risk of diabetic retinopathy and
6	the mean HbA_{1c} level. For each 10% decrease in the HbA_{1c} (e.g., from 9% to 8.1%), there was a 39%
7	decrease in the risk of progression of retinopathy over the range of HbA_{1c} values. There was no glycemic
8	threshold when the risk of retinopathy was eliminated above the nondiabetic range of HbA_{1c} (4% to 6.05%).
9	After 6.5 years of follow-up, the DCCT ended, and all patients were encouraged to pursue strict control of
10	blood sugar. Most of these patients are being followed in the Epidemiology of Diabetes Interventions and
11	Complications (EDIC) study, which includes 95% of the DCCT subjects. A total of 1294 to 1335 patients
12	have been examined annually in the EDIC study. Further progression of diabetic retinopathy during the first
13	4 years of the EDIC study was 66% to 77% less in the former intensive treatment group than in the former
14	conventional treatment group. 43 The benefit persisted even at 7 years. This benefit included an effect on
15	severe diabetic retinopathy, including severe nonproliferative diabetic retinopathy (NPDR), proliferative
16	diabetic retinopathy (PDR), clinically significant macular edema, and the need for focal/grid or panretinal
17	laser photocoagulation surgery. 45 The decrease in HbA _{1c} from 9% to approximately 8% did not drastically
18	reduce the progression of diabetic retinopathy in the former conventional treatment group, nor did the
19	increase in HbA_{1c} from approximately 7% to approximately 8% drastically accelerate diabetic retinopathy in
20	the former intensive treatment group. 43 Thus, it takes time for improvements in control to negate the long-
21	lasting effects of prior prolonged hyperglycemia, and once the biological effects of prolonged improved
22	control are manifest, the benefits are long-lasting. Furthermore, the total glycemic exposure of the patient
23	(i.e., degree and duration) determines the degree of retinopathy observed at any one time.
24	A positive relationship between the 4-year incidence and progression of retinopathy and glycosylated
25	hemoglobin remains after controlling for other risk factors, such as duration of diabetes and severity of
26	retinopathy at a baseline examination. 65,66,130 Extrapolation of pathologic and clinical experience strongly
27	suggests that poor levels of control contribute to microangiopathy, including retinopathy. 293 The development
28	of PDR parallels an increased risk of nephropathy, myocardial infarction, and/or cerebral vascular accidents.
29	Although good glycemic control is advised, there is some evidence that rapid improvement of long-standing
30	poor control may increase the risk of retinopathy progression over the first year for some patients. About
31	10% of type 1 patients who had initial retinopathy at the beginning of the DCCT had increased retinopathy
32	progression. ²⁹⁴ Specifically, there may be a transient increase in the number of cotton wool spots seen on
33	retinal examination. Frequent ophthalmologic monitoring is important when diabetic patients are being
34	brought under better metabolic control. ²⁹⁴

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1 In the DCCT there was a threefold increase in severe hypoglycemic events and excess weight gain among 2 patients using intensive treatment regimens. Increased risk of hypoglycemia is a consequence of strict blood 3 glucose control. Irregular food intake, failure to check blood glucose before planned or unplanned vigorous 4 exercise or before operating a motor vehicle, and excess alcohol are risk factors for hypoglycemia. Diabetes 5 mellitus education and regular reinforcement should be provided by diabetes nurses and dietitian educators 6 and may help minimize the risk of hypoglycemia. 7 The United Kingdom Prospective Diabetes Study (UKPDS), 46,126 a randomized controlled clinical trial of 8 blood glucose control, enrolled 3867 patients with newly diagnosed type 2 diabetes. Intensive blood glucose 9 control by either the sulfonylureas or insulin decreased the risk of microvascular complications but not the 10 risk of macrovascular disease. There were no adverse effects of the individual drugs on the cardiovascular 11 outcome. In this study, there was a 29% reduction in the need for retinal photocoagulation in the group that 12 had intensive glucose therapy compared with those that had conventional treatment (relative risk, 0.71; 95% 13 confidence interval, 0.53–0.96; *P*=0.003). 14 The ACCORD (Action to Control Cardiovascular Risk in Diabetes) study (www.accordtrial.org) was a large 15 clinical trial of adults with established type 2 diabetes who are at especially high risk of cardiovascular 16 disease (CVD). Type 2 diabetes increases the risk of a number of complications, especially CVD, which is 17 the leading cause of early death in people with diabetes. 18 The ACCORD study consisted primarily of three clinical trials that tested treatment approaches to determine 19 the best ways to decrease the high rate of major CVD events—heart attack, stroke, or death from CVD— 20 among people with type 2 diabetes who are at especially high risk of having such a CVD event. These three 21 treatment approaches were intensive lowering of blood sugar levels compared with a more standard blood 22 sugar treatment; intensive lowering of blood pressure compared with standard blood pressure treatment; and treatment of multiple blood lipids with two drugs—a fibrate plus a statin—compared with one drug, a statin 23 alone.295 24 25 The study began enrolling participants in 2001 and took place in 77 clinical sites across the United States and 26 Canada. A total of 10,251 adults with established type 2 diabetes participated in ACCORD. At enrollment, 27 study participants were between age 40 and 79 (average age 62), had diabetes for an average of 10 years, and 28 were at especially high risk for CVD events because they already had pre-existing CVD, evidence of 29 subclinical CVD, or at least two CVD risk factors in addition to type 2 diabetes. The other CVD risk factors 30 could be high low-density lipoprotein cholesterol, high blood pressure, smoking, or obesity. 31 The primary outcome measure for all three trials was the first occurrence after randomization of a major 32 CVD event, specifically nonfatal heart attack, nonfatal stroke, or CVD death. Secondary outcomes include 33 total mortality (death), microvascular outcomes (e.g., eye, kidney, and nerve complications), health-related 34 quality of life, and cost-effectiveness. 35 All three ACCORD clinical trials have ended. The National Heart, Lung, and Blood Institute (NHLBI) 36 stopped the intensive blood sugar lowering strategy in 2008 due to safety concerns. Participants in the 37 intensive blood sugar treatment strategy group were transitioned to the standard treatment strategy. The blood

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1 pressure and lipid treatment trials continued until the planned end of the study in 2009. In its regular review 2 of the available study data, the ACCORD Data and Safety Monitoring Board (DSMB) noticed an unexpected 3 increase in total deaths from any cause among participants who had been randomly (by chance) assigned to 4 the intensive lowering of blood sugar levels group compared with those assigned to the standard blood sugar 5 treatment group. The data analyses showed that over an average of 3.5 years of treatment (ranging from 6 about 2 years to about 7 years), 257 participants in the intensive group died compared with 203 in the 7 standard group—a difference of 54 deaths, or an excess of about 3 deaths per 1,000 participants treated for a 8 year. This translates to a statistically significant 22% higher rate of death in the intensive group than in the 9 standard group. 10 There was a trend toward lower (10% lower) rate of primary outcome events, primarily nonfatal heart 11 attacks, in the intensive group compared with the standard treatment group. However, the DSMB 12 recommended discontinuing intensive blood sugar treatment because the harm of the intensive strategy 13 outweighed the potential benefit. The NHLBI accepted the DSMB's recommendation and decided to 14 transition all participants to the standard blood sugar strategy. The results of the blood sugar trial were published in 2008. There was no significant difference in the 15 16 primary study outcome between the intensive and standard blood pressure treatment groups. The primary 17 outcome was the time to first occurrence after randomization of a heart attack, a stroke, or a cardiovascular 18 death. Thus, the primary hypothesis of the ACCORD BP trial was not supported. There was, however, a 19 significant reduction in the rate of strokes, although the numbers were relatively small. This reduction in 20 stroke was consistent with previous blood pressure lowering trials. Overall, however, the findings from the 21 ACCORD blood pressure trial suggest that, on average, the standard treatment for lowering blood pressure 22 was just as good as the intensive lowering treatment for cardiovascular outcomes. The results of the lipid²⁹⁷ and the blood pressure²⁹⁸ trials were published in 2010. Overall, the fibrate and the 23 24 placebo groups did not differ in the rates of the combined outcome of heart attacks, strokes, or cardiovascular 25 death. The results, however, suggest that men may benefit from this treatment, but there was a trend toward 26 more cardiovascular problems in women receiving the combination therapy compared with those who 27 received statins only. Also, the group of patients who at the start of the trial had the lowest level of high-28 density lipoprotein (HDL) cholesterol combined with the highest level of triglycerides (which represented 29 only 17% of the ACCORD participants) may have benefitted from this combined drug treatment. 30 More recently, the American College of Physicians published their glycemic control guidance statement to 31 guide clinicians in selecting targets for pharmacologic treatment of type 2 diabetes based on the AGREE II (Appraisal of Guidelines for Research and Evaluation II) instrument, which was used to evaluate the 32 guidelines.²⁹⁹ The National Guideline Clearinghouse and the Guidelines International Network library were 33 34 searched (May 2017) for national guidelines published in English that addressed HbA_{1c} targets for treating 35 type 2 diabetes in nonpregnant outpatient adults. The investigators also identified guidelines from the 36 National Institute for Health and Care Excellence and the Institute for Clinical Systems Improvement. In 37 addition, four commonly used guidelines were reviewed from the American Association of Clinical

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1	Endocrinologists and the American College of Endocrinology, the American Diabetes Association, the
2	Scottish Intercollegiate Guidelines Network, and the US Department of Veterans Affairs and Department of
3	Defense. They found that the ideal target that optimally balances benefits and harms remains uncertain. Their
4	four guidance statements emphasize the importance of personalizing the glycemic goals in patients with type
5	2 diabetes on the basis of the benefits/harms balance of pharmacotherapy, patient preference, and life
6	expectancy. They suggest an HbA_{1c} goal range of 7% to 8% for most patients. These authors also recognized
7	the studies that showed that more intensive glycemic control likely requires a long time to manifest. Thus,
8	more stringent targets may be appropriate for patients who have a long life expectancy (>15 years). Further,
9	most of the guidelines noted that a target in the lower end of the range (7%) applied best to patients with
10	newly diagnosed diabetes and those without substantial diabetes-related complications.

APPENDIX 5. CLASSIFICATION OF DIABETIC RETINOPATHY IN THE EARLY TREATMENT OF DIABETIC RETINOPATHY STUDY

The Early Treatment of Diabetic Retinopathy Study (ETDRS) classification of diabetic retinopathy and definitions of macular edema are in Tables A6-1.

TABLE A6-1 CLASSIFICATION OF DIABETIC RETINOPATHY IN THE EARLY TREATMENT OF DIABETIC RETINOPATHY STUDY

Disease Severity Level	Findings Observable upon Dilated Ophthalmoscopy
Mild nonproliferative retinopathy	At least 1 microaneurysm, and definition not met for moderate nonproliferative retinopathy, severe nonproliferative retinopathy, early proliferative retinopathy, or high-risk proliferative retinopathy (see below)
Moderate nonproliferative retinopathy	Hemorrhages and/or microaneurysms ≥ standard photograph 2A*; and/or soft exudates, venous beading, or intraretinal microvascular abnormalities definitely present; and definition not met for severe nonproliferative retinopathy, early proliferative retinopathy, or high-risk proliferative retinopathy (see below)
Severe nonproliferative retinopathy	Cotton wool spots, venous beading, and intraretinal microvascular abnormalities all definitely present in at least two of fields 4 through 7; or 2 of the preceding 3 lesions present in at least two of fields 4 through 7 and hemorrhages and microaneurysms present in these 4 fields, ≥ standard photo 2A in at least one of them; or intraretinal microvascular abnormalities present in each of fields 4 through 7 and ≥ standard photograph 8A in at least two of them; and definition not met for early proliferative retinopathy or high-risk proliferative retinopathy (see below)
Early proliferative retinopathy (i.e., proliferative retinopathy without Diabetic Retinopathy Study high-risk characteristics) (see Glossary)	New vessels; definition not met for high-risk proliferative retinopathy (see below)
High-risk proliferative retinopathy (i.e., proliferative retinopathy with Diabetic Retinopathy Study high-risk characteristics) (see Glossary)	New vessels on or within 1 disc diameter of the optic disc ≥ standard photograph 10A* (about one-quarter to one-third disc area), with or without vitreous or preretinal hemorrhage; or vitreous and/or preretinal hemorrhage accompanied by new vessels, either new vessels at the optic disc < standard photograph 10A or new vessels elsewhere ≥ one-quarter disc area

Adapted with permission from the Early Treatment Diabetic Retinopathy Study Research Group. Early Treatment Diabetic Retinopathy Study design and baseline patient characteristics: ETDRS report number 7. Ophthalmology 1991;98:742.

^{*} Early Treatment Diabetic Retinopathy Study Research Group. Grading diabetic retinopathy from stereoscopic color fundus photographs--an extension of the modified Airlie House classification: ETDRS report number 10. Ophthalmology 1991;98:786-806

GLOSSARY

Action to Control Cardiovascular Risk in Diabetes (ACCORD) trial: A large multicenter clinical trial that evaluated intensive control of blood sugar, intensive control of blood pressure, and statin therapy (with or without fibrate treatment) for the prevention of cardiovascular disease events among high-risk patients with type 2 diabetes.

ACCORD: See Action to Control Cardiovascular Risk in Diabetes trial.

Anti-VEGF: See Anti-vascular endothelial growth factor.

Anti-vascular endothelial growth factor (anti-VEGF): Substances that inhibit the action of vascular endothelial growth factor protein.

Bevacizumab or Laser Treatment (BOLT) study: A randomized trial that evaluated intravitreal bevacizumab or conventional laser treatment for center-involved DME

BOLT: See Bevacizumab or Laser Treatment study.

Clinically significant macular edema (CSME): Retinal thickening at or within 500 µm of the center of the macula; and/or hard exudates at or within 500 µm of the center of the macula, if associated with thickening of the adjacent retina; and/or a zone or zones of retinal thickening 1 disc area in size, any part of which is within 1 disc diameter of the center of the macula.

CSME: See Clinically significant macular edema.

ci-CSME: Center-involved CSME.

DA VINCI: See DME and VEGF Trap-Eye: Investigation of Clinical Impact study.

DCCT: See Diabetes Control and Complications Trial.

Diabetes Control and Complications Trial (DCCT): A multicenter, randomized, controlled trial designed to study the connection between glycemic control and retinal, renal, and neurologic complications of type 1 diabetes mellitus. (See Appendix 5.)

Diabetes mellitus: According to the American Diabetes Association Expert Committee on the Diagnosis and Classification of Diabetes Mellitus, the criteria for the diagnosis of diabetes mellitus are as follows.

◆ Fasting plasma glucose equal to or exceeding 126 mg/dL (7.0 mmol/L). Fasting is defined as no caloric intake for at least 8 hours.

or

- ◆ Symptoms of hyperglycemia and a casual plasma glucose concentration equal to or exceeding 200 mg/dL (11.1 mmol/L). "Casual" is defined as any time of day without regard to time since last meal. The classic symptoms of hyperglycemia include polyuria, polydipsia, and unexplained weight loss.
- ◆ A plasma glucose measurement at 2 hours postload equal to or exceeding 200 mg/dL (11.1 mmol/L) during an oral glucose tolerance test. The test should be performed as described by the World Health Organization, using a glucose load containing the equivalent of 75 g anhydrous glucose dissolved in water. However, the expert committee has recommended against oral glucose tolerance testing for routine clinical use. (Source: Report of the Expert Committee on the Diagnosis and Classification of Diabetes Mellitus. Diabetes Care 2008;31 (suppl):55-60.)

Diabetic macular edema: The accumulation of fluid in the macula due to leaky blood vessels.

Diabetic Retinopathy Clinical Research Network (DRCR.net): A multicenter trial that is evaluating different treatment modalities for diabetic retinopathy.

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Diabetic Retinopathy Study (DRS): A study designed to investigate the value of xenon arc and argon photocoagulation surgery for patients with severe NPDR and PDR. (See Appendix 4.)

Diabetic Retinopathy Vitrectomy Study (DRVS): A study that investigated the role of vitrectomy in managing eyes with very severe PDR. (See Appendix 4.)

DME: See Diabetic macular edema.

DME and VEGF Trap-Eye: Investigation of Clinical Impact (DA VINCI) study: A randomized trial of the use of aflibercept for DME.

DRCR.net: See Diabetic Retinopathy Clinical Research Network.

DRS: See Diabetic Retinopathy Study.

DRVS: See Diabetic Retinopathy Vitrectomy Study.

Early Treatment Diabetic Retinopathy Study (ETDRS): A study that investigated the value of photocoagulation surgery for patients with NPDR or PDR who did not have high-risk characteristics. (See Appendix 4.)

Early proliferative diabetic retinopathy (i.e., proliferative retinopathy without DRS high-risk characteristics): New vessels that do not meet the criteria of high-risk proliferative retinopathy.

EDIC: See Epidemiology of Diabetes Interventions and Complications study.

Epidemiology of Diabetes Interventions and Complications (EDIC) study: An observational study following 95% of the DCCT subjects. (See Appendix 5.)

ETDRS: See Early Treatment Diabetic Retinopathy Study.

Fenofibrate Intervention and Event Lowering in Diabetes (FIELD) study: A large randomized controlled type 2 diabetes mellitus.

FIELD study: See Fenofibrate Intervention and Event Lowering in Diabetes study.

Focal photocoagulation: A laser technique directed to abnormal blood vessels with specific areas of focal leakage (i.e., microaneurysms) to reduce chronic fluid leakage in patients with macular edema.

Grid photocoagulation: A laser technique in which a grid pattern of scatter burns is applied in areas of diffuse macular edema and nonperfusion. Typically, fluorescein angiograms of these areas show a diffuse pattern rather than focal leakage.

High-risk proliferative diabetic retinopathy (PDR): New vessels on or within 1 disc diameter of the optic disc equaling or exceeding standard photograph 10A (about one-quarter to one-third disc area), with or without vitreous or preretinal hemorrhage; or vitreous and/or preretinal hemorrhage accompanied by new vessels either on the optic disc less than standard photograph 10A or new vessels elsewhere equaling or exceeding one-quarter disc area.

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Standard photograph 10A defines the lower border of moderate NVD. NVD covers approximately one-third the area of the standard disc. This extent of NVD alone would constitute PDR with high-risk characteristics.

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ICD-9: International Statistical Classification of Diseases and Related Health Problems, Ninth Edition.

ICD-10: International Statistical Classification of Diseases and Related Health Problems, Tenth Edition.

Intraretinal microvascular abnormalities (IRMA): Tortuous intraretinal vascular segments, varying in caliber from barely visible to 31 µm in diameter (one-quarter the width of a major vein at the disc margin); they occasionally can be larger. Intraretinal microvascular abnormalities may be difficult to distinguish from neovascularization.

IRMA: See Intraretinal microvascular abnormalities.

Macular edema: Thickening of the retina within 1 or 2 disc diameters of the center of the macula. (See Clinically significant macular edema.) Any other thickening of the macula not within this area is non-CSME.

Mild nonproliferative diabetic retinopathy (NPDR): At least 1 microaneurysm and less than moderate nonproliferative diabetic retinopathy.

Moderate nonproliferative diabetic retinopathy (NPDR): Hemorrhages and/or microaneurysms greater than standard photograph 2A, and/or soft exudates, venous beading, or IRMA present but less than severe nonproliferative retinopathy.

Moderate visual loss: The loss of 15 or more letters on the ETDRS visual acuity chart, or doubling of the visual angle (e.g., 20/20 to 20/40, or 20/50 to 20/100).

nci-CSME: Non-center-involved CSME.

New vessels at the optic disc (*NVD*): New vessels at the optic disc; neovascularization on or within 1 disc diameter of the optic disc.

New vessels elsewhere in the retina: New vessels elsewhere in the retina; neovascularization elsewhere in the retina and greater than 1 disc diameter from the optic disc margin.

New vessels on the iris: New vessels on the iris; neovascularization of the iris.

Nonproliferative diabetic retinopathy (NPDR): The phases of diabetic retinopathy with no evidence of retinal neovascularization.

NPDR: See Nonproliferative diabetic retinopathy.

NVD: See New vessels at the optic disc.

OCT: See Optical coherence tomography.

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Optical coherence tomography (OCT): A diagnostic test using low energy lasers that takes a cross-section image of the retina, Used mostly to determine if there are membranes on the surface of the macula or fluid within or beneath it.

Panretinal photocoagulation: A type of laser surgery used for patients with PDR. The surgery is delivered in a scatter pattern throughout the peripheral fundus and is intended to lead to a regression of neovascularization.

PDR: See Proliferative diabetic retinopathy.

Proliferative diabetic retinopathy (PDR): Advanced disease characterized by NVD and/or new vessels elsewhere in the retina.

Quality-adjusted life year (QALY): A measure of health outcome that assigns to each year of a patient's life a weight (ranging from 0 to 1) corresponding to the health-related quality of life during that year, such that a value of 1 indicates a year of optimal health and a value of 0 indicates a year in a health state judged equivalent to death.

QALY: See Quality adjusted life year.

Ranibizumab for Edema of the mAcula in Diabetes (READ-2) study: A prospective multicenter randomized controlled trial that compared 0.5 mg ranibizumab and laser photocoagulation surgery for the treatment of DME.

READ-2: See Ranibizumab for Edema of the mAcula in Diabetes study.

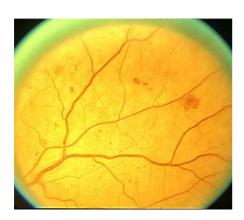
Retinal hard exudate: Protein and lipid accumulation within the retina.

RIDE: A study of ranibizumab injection in subjects with CSME with center-involvement secondary to diabetes mellitus.

RISE: A study of ranibizumab injection in subjects with clinically significant macular edema with center-involvement secondary to diabetes mellitus.

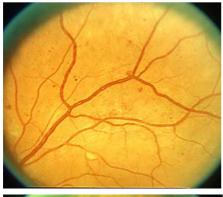
Scatter photocoagulation: See Panretinal photocoagulation.

Severe nonproliferative diabetic retinopathy (NPDR): Using the 4-2-1 rule, the presence of at least one of the following features: (1) severe intraretinal hemorrhages and microaneurysms, equaling or exceeding standard photograph 2A, present in 4 quadrants; (2) venous beading in 2 or more quadrants (standard photograph 6A); or (3) moderate IRMA equaling or exceeding standard photograph 8A in 1 or more quadrants.

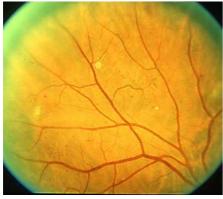


Standard photograph 2A, the standard for hemorrhages/microaneurysms. Eyes with severe NPDR have this degree of severity of hemorrhages and microaneurysms in all 4 midperipheral quadrants.

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Standard photograph 6A, less severe of two standards for venous beading. Two main branches of the superior temporal vein show beading that is definite but not severe.



Standard photograph 8A, the standard for moderate IRMA. Patients with severe NPDR have moderate IRMA of at least this severity in at least 1 quadrant.

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Severe visual loss: Occurrence of visual acuity worse than 5/200 at any two consecutive visits scheduled at 4-month intervals.

UKPDS: See United Kingdom Prospective Diabetes Study.

United Kingdom Prospective Diabetes Study (UKPDS): A randomized controlled clinical trial of blood glucose control in patients with newly diagnosed type 2 diabetes. (See Appendix 5.)

VIVID: A randomized, double masked, active controlled, Phase III study of the efficacy and safety of repeated doses of intravitreal VEGF Trap-Eye in subjects with DME.

VISTA: A randomized, double masked, active controlled, Phase III study of the efficacy and safety of intravitreal administration of VEGF Trap-Eye in patients with DME.

WESDR: See Wisconsin Epidemiologic Study of Diabetic Retinopathy

Wisconsin Epidemiologic Study of Diabetic Retinopathy: A large epidemiologic study of complications associated with diabetes and of risk factors associated with those complications

LITERATURE SEARCHES FOR THIS PPP

Literature searches of the PubMed and Cochrane databases were conducted in April 2018; the search strategies are provided at www.aao.org/ppp. Specific limited update searches were conducted after June 2019.

("Diabetic Retinopathy/epidemiology"[Mesh] OR "Diabetic

Retinopathy/ethnology"[Mesh])

("Diabetic Retinopathy" [Mesh]) AND ("Risk Factors" [Mesh])

"Diabetic Retinopathy" [Mesh] AND "natural history" [tiab]

"Diabetic Retinopathy/diagnosis"[Mesh]

"Diabetic Retinopathy/therapy"[Mesh]

"Diabetic Retinopathy" [Mesh] AND ((("Drug Therapy, Combination" [Mesh] OR "Drug

 $Combinations" [Mesh]) \ OR \ "Combined \ Modality \ The rapy" [Mesh]) \ OR \ (combination[tiab]) \ O$

OR combined[tiab]))

"Diabetic Retinopathy" [Mesh] AND "Cost of Illness" [Mesh]

(("Diabetic Retinopathy" [Mesh] OR ("diabetic" [All Fields] AND "retinopathy") OR

"diabetic retinopathy") AND "Cost-Benefit Analysis" [Mesh])) OR ("Diabetic

Retinopathy/economics"[Mesh]

("Diabetic Retinopathy/therapy" [Mesh] AND ("Quality of Life" [Mesh]

"Diabetic Retinopathy" [Mesh] AND (("Quality of Life" [Mesh] NOT

("therapy" [Subheading] OR "therapy" [All Fields] OR "treatment" OR

"therapeutics"[MeSH Terms] OR "therapeutics"))

"Diabetic Retinopathy/genetics"[Mesh]

"Diabetic Retinopathy" [Mesh] AND (Guideline [ptyp]

RELATED ACADEMY MATERIALS

Basic and Clinical Science Course

Retina and Vitreous (Section 12, 2019–2020)

Clinical Statements -

Free download available at http://one.aao.org/guidelines-browse?filter=clinicalstatement.

Frequency of Ocular Examinations (2015)

International Clinical Classification System for Diabetic Retinopathy and Diabetic Macular Edema (2012)

Screening for Diabetic Retinopathy (2014)

Telemedicine for Ophthalmology Information Statement (2018)

Verifying the Source of Compounded Bevacizumab for Intravitreal Injections (2012)

Focal Points

Retinal Optical Coherence Tomography (2014)

Update on the Management of Diabetic Retinopathy (2011)

Ophthalmic Technology Assessment -

Published in *Ophthalmology*, which is distributed free to Academy members; links to full text available at www.aao.org/ota.

Anti-VEGF Pharmacotherapy for Diabetic Macular Edema (2012)

Clinical Models and Algorithms for the Prediction of Retinopathy of Prematurity (2016)

Current Role of Cryotherapy in Retinopathy of Prematurity (2012)

Laser Scanning Imaging for Macular Disease (2007; reviewed for currency 2012)

Single Field Fundus Photography for Diabetic Retinopathy Screening (2004; reviewed for currency 2010)

Patient Education

Diabetic Retinopathy Brochure (2014)

Diabetic Retinopathy Brochure (Spanish: Retinopatía Diabetíca) (2014)

EyeSmart® What is Diabetic Retinopathy? Available at:

 $\underline{www.geteyes mart.org/eyes mart/diseases/diabetic-retinopathy/index.cfm}$

Preferred Practice Pattern® Guidelines – Free download available at www.aao.org/ppp.

Comprehensive Adult Medical Evaluation (2015)

To order any of these products, except for the free materials, please contact the Academy's Customer Service at 866.561.8558 (U.S. only) or 415.561.8540 or www.aao.org/store

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